

Occupational Therapy Assistant Supervision Requirements

State	Citation	Legislative / Regulatory information
Alabama	<p>Statute: AL code 1975, § 34-39-3.6</p> <p>Regulation: Chapter 625- X-8-.01</p> <p>625-X-8-.02</p>	<p>Occupational Therapy Assistant. A person licensed to assist in the practices of occupational therapy under the supervision of, or with the consultation of, a licensed occupational therapist whose license is in good standing.</p> <p>Supervision Of Licensed Occupational Therapy Assistants. (1) All Occupational Therapy Assistants shall assist in the practice of occupational therapy only under the supervision of an Occupational Therapist. (2) Supervision of an Occupational Therapy Assistant by an Occupational Therapist shall consist of at least 5% of work hours per month of one-to-one on-site supervision for each certified Occupational Therapy Assistant with supervision including: (a) The monitoring of a patient's progress by the Occupational Therapist; (b) Evaluation of the treatment plan and determination of treatment termination by the Occupational Therapist; (3) The Occupational Therapist shall ensure that the Occupational Therapy Assistant is assigned only those duties and responsibilities for which the assistant has been specifically educated and which the Occupational Therapy Assistant is qualified to perform. (4) The provisions as enumerated in subsection (1), (2), and (3), shall apply to any individual holding a temporary permit as issued by the Board.</p> <p>Supervision Of Therapists/Assistants With Limited Permits. Any individual issued a limited permit under the provision of §34-39-11, shall be supervised in accordance with the requirements of 625-X-8-.01 as set here and above. (a) Supervision of limited permit holders shall consist of one-to-one on-site supervision a minimum of 50 percent of direct patient time by an Occupational Therapist who holds a current license in this state. (b) Supervision of limited permit holders who are in the reentry process, as stated in 625-X-3-.08, shall consist of 100%, in-sight supervision by an Alabama licensed Occupational Therapist with no less than five years continuous experience.</p>
Alaska	<p>Statute: § 08.84.190</p> <p>Regulation: 12 AAC 54.810</p> <p>12 AAC 54.820</p>	<p>(4) "occupational therapy assistant" means a person who assists in the practice of occupational therapy under the supervision of an occupational therapist;</p> <p>Supervision Of Occupational Therapy Assistants. (a) An occupational therapy assistant shall work under the supervision of a licensed occupational therapist. To meet this supervision requirement, (1) at least once per month the occupational therapist supervising the licensed occupational therapy assistant shall be physically present while the occupational therapy assistant being supervised implements a treatment plan with a patient; and (2) the occupational therapist supervising the occupational therapy assistant shall be available for consultation with the occupational therapy assistant being supervised, through telephone consultations, written reports, or inperson conferences. (b) If the licensed occupational therapist agrees to supervise an occupational therapy assistant, the occupational therapist shall (1) determine the frequency and manner of consultations, taking into consideration the treatment settings being used, patient rehabilitation status, and the competency of the occupational therapy assistant being supervised; (2) fully document the supervision provided, including a record of all consultations provided, and maintain those records at the occupational therapy assistant's place of employment; and (3) countersign the patient treatment record each time the occupational therapist supervising the occupational therapy assistant is physically present and directly supervises the treatment of a patient by the occupational therapy assistant being supervised.</p> <p>Standards For Supervision. For the purposes of 12 AAC 54.600 - 12 AAC 54.820, "supervision" means the occupational therapist (1) will be present whenever a patient is evaluated, a treatment program is established, or a treatment</p>

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		<p>program is changed; and</p> <p>(2) is present to personally review the diagnosis of the condition to be treated, authorize the procedure, and, before dismissal of the patient, evaluate the performance of the treatment given.</p>
Arizona	<p>Statute: § 32-3401.3</p> <p>§32-3401.7</p> <p>Regulation: R4-43-101.11</p> <p>R4-43-401</p>	<p>"Direct Supervision" means that the supervising occupational therapist is on the premise at all times while a limited permittee is performing occupational therapy services or when an unlicensed person is performing authorized tasks.</p> <p>"Occupational therapy assistant" means a person who is licensed pursuant to this chapter, who is a graduate of an accredited occupational therapy education program or the equivalent, who assists in the practice of occupational therapy and who performs delegated procedures commensurate with his education and training.</p> <p>"Supervision" means a collaborative process for the responsible periodic review and inspection of all aspects of occupational therapy services. The following levels of supervision are minimal. An occupational therapist may assign an increased level of supervision if deemed necessary for the safety of a patient or client. The levels of supervision are:</p> <p>a. "Continuous supervision" means the supervising occupational therapist is in the immediate area of the occupational therapy aide performing supportive services.</p> <p>b. "Close supervision" means the supervising occupational therapist provides initial direction to the occupational therapy assistant and daily contact while on the premises.</p> <p>c. "Routine supervision" means the supervising occupational therapist has face-to-face contact with the occupational therapy assistant at least once every 15-calendar days on a per patient or client basis while on the premises, with the supervising occupational therapist available by telephone or by written communication.</p> <p>d. "General supervision" means the supervising occupational therapist has face-to-face contact with the occupational therapy assistant at least once every 30-calendar days on a per patient or client basis while on the premises, with the supervising occupational therapist available by telephone or by written communication.</p> <p>e. "Minimal supervision" means the supervising occupational therapist has face-to-face contact with the occupational therapy assistant at least once every 30-calendar days while on the premises.</p> <p>Supervision of Occupational Therapy Assistants</p> <p>A. Only a licensed occupational therapist shall:</p> <ol style="list-style-type: none"> 1. Prepare an initial treatment plan, initiate or re-evaluate a client or patient's treatment plan, or authorize in writing a change of a treatment plan; 2. Delegate duties to a licensed occupational therapy assistant, designate an assistant's duties, and assign a level of supervision; and 3. Authorize a patient discharge. <p>B. A licensed occupational therapy assistant shall not:</p> <ol style="list-style-type: none"> 1. Evaluate or develop a treatment plan independently; 2. Initiate a treatment plan before a client or patient is evaluated and a treatment plan is prepared by an occupational therapist; 3. Continue a treatment procedure appearing harmful to a patient or client until the procedure is reevaluated by an occupational therapist; or 4. Continue or discontinue occupational therapy services unless the treatment plan is approved or re-approved by a supervising occupational therapist. <p>C. A supervising occupational therapist shall supervise a licensed occupational therapy assistant as follows:</p> <ol style="list-style-type: none"> 1. Not less than routine supervision if the occupational therapy assistant has less than 12 months work experience in a particular practice setting or with a particular skill. 2. Not less than general supervision if the occupational therapy assistant has more than 12 months but less than 24 months of experience in a particular practice setting or with a particular skill. 3. Not less than minimal supervision if an occupational therapy assistant has more than 24 months of experience in a particular practice setting or with a particular skill. 4. Increased level of supervision, if necessary, for the safety of a patient or client.
Arkansas	<p>Statute: 17-88-102</p>	<p>(3) "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under the frequent and regular supervision by or with consultation with an occupational</p>

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	<p>Regulation: Regulation 6, 6.2, Arkansas State Occupational Therapy Licensing Act 381 of 1977.</p>	<p>therapist, whose license is in good standing. The definition of "frequent" and "regular" will be established by the Arkansas State Occupational Therapy Examining Committee</p> <p>Frequent And Regular Supervision Defined: As specified in the Occupational Therapy Practice Act 17-88-102 (3) An "occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under the frequent and regular supervision by or in consultation with an occupational therapist whose license is in good standing. "Frequent" and "regular" are defined by the Arkansas State Occupational Therapy Examining Committee as consisting of the following elements: (A) The supervising occupational therapist shall have a legal and ethical responsibility to provide supervision, and the supervisee shall have a legal and ethical responsibility to obtain supervision regarding the patients seen by the occupational therapy assistant. (B) Supervision by the occupational therapist of the supervisee's occupational therapy services shall always be required, even when the supervisee is experienced and highly skilled in a particular area. (C) Frequent/Regular Supervision of an occupational therapy assistant by the occupational therapist is as follows: 1) The supervising occupational therapist shall meet with the occupational therapy assistant for on-site, face to face supervision a minimum of one (1) hour per forty (40) occupational therapy work hours performed by the occupational therapy assistant, to review each patient's progress and objectives. 2) The supervising occupational therapist shall meet with each patient being treated by the occupational therapy assistant on a monthly basis, to review patient progress and objectives. (D) The occupational therapists shall assign, and the occupational therapy assistant shall accept, only those duties and responsibilities for which the occupational therapy assistant has been specifically trained and is qualified to perform, pursuant to the judgment of the occupational therapist. (1) Assessment/reassessment. Patient evaluation is the responsibility of the occupational therapists. The occupational therapy assistant may contribute to the evaluation process by gathering data, and reporting observations. The occupational therapy assistant may not evaluate independently or initiate treatment prior to the occupational therapist's evaluation. (2) Treatment planning/Intervention. The occupational therapy assistant may contribute to treatment planning as directed by the occupational therapist. The occupational therapist shall advise the patient/client as to which level of practitioner will carry out the treatment plan. (3) Discontinuation of intervention. The occupational therapy assistant may contribute to the discharge process as directed by the occupational therapist. The occupational therapist shall be responsible for the final evaluation session and discharge documentation. (E) Before an occupational therapy assistant can assist in the practice of occupational therapy, he must file with the Board a signed, current statement of supervision of the licensed occupational therapist(s) who will supervise the occupational therapy assistant. Change in supervision shall require a new status report to be filed with the Board. (F) In extenuating circumstances, when the occupational therapy assistant is without supervision, the occupational therapy assistant may carry out established programs for up to thirty calendar days while appropriate occupational therapy supervision is sought. It shall be the responsibility of the occupational therapy assistant to notify the Board of these circumstances. (G) Failure to comply with the above will be considered unprofessional conduct and may result in punishment by the Board.</p>
California	<p>Statute: § 2570.2</p> <p>§2570.3</p>	<p>"Occupational therapy assistant" means an individual who is certified pursuant to the provisions of this chapter, who is in good standing as determined by the board, and based thereon, who is qualified to assist in the practice of occupational therapy under this chapter, and who works under the appropriate supervision of a licensed occupational therapist.</p> <p>(j) "Supervision of an occupational therapy assistant" means that the responsible occupational therapist shall at all times be responsible for all occupational therapy services provided to the client. The occupational therapist who is responsible for appropriate supervision shall formulate and document in each client's record, with his or her signature, the goals and plan for that client, and shall make sure that the occupational therapy assistant assigned to that client functions under appropriate supervision. As part of the responsible occupational therapist's appropriate supervision, he or she shall conduct at least weekly review and inspection of all aspects of occupational therapy services by the occupational therapy assistant.</p>

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	<p>§2570.13</p> <p>Code of Regulations Title 16, Division 39 § 4182</p>	<p>(1) The supervising occupational therapist has the continuing responsibility to follow the progress of each patient, provide direct care to the patient, and to assure that the occupational therapy assistant does not function autonomously.</p> <p>(2) An occupational therapist shall not supervise more occupational therapy assistants, at any one time, than can be appropriately supervised in the opinion of the board. Two occupational therapy assistants shall be the maximum number of occupational therapy assistants supervised by an occupational therapist at any one time, but the board may permit the supervision of a greater number by an occupational therapist if, in the opinion of the board, there would be adequate supervision and the public's health and safety would be served. In no case shall the total number of occupational therapy assistants exceed twice the number of occupational therapists regularly employed by a facility at any one time.</p> <p>(a) Consistent with this section, subdivisions (a), (b), and (c) of Section 2570.2, and accepted professional standards, the board shall adopt rules necessary to assure appropriate supervision of occupational therapy assistants and aides. (b) A certified occupational therapy assistant may practice only under the supervision of an occupational therapist who is authorized to practice occupational therapy in this state. (c) An aide providing delegated, client-related supportive services shall require continuous and direct supervision by an occupational therapist.</p> <p>Treatments Performed by Occupational Therapy Assistants</p> <p>(a) The supervising occupational therapist shall determine the occupational therapy treatments the occupational therapy assistant may perform. In making this determination, the supervising occupational therapist shall consider the following:</p> <p>(1) the clinical complexity of the patient/client;</p> <p>(2) skill level of the occupational therapy assistant in the treatment technique; and</p> <p>(3) whether continual reassessment of the patient/client status is needed during treatment. This rule shall not preclude the occupational therapy assistant from responding to acute changes in the client's condition that warrant immediate action. The occupational therapy assistant shall inform the supervising occupational therapist immediately of the acute changes in the patient's/client's condition and the action taken.</p> <p>(b) The supervising occupational therapist shall assume responsibility for the following activities regardless of the setting in which the services are provided:</p> <p>(1) Interpretation of referrals or prescriptions for occupational therapy services.</p> <p>(2) Interpretation and analysis for evaluation purposes.</p> <p>(A) The occupational therapy assistant may contribute to the evaluation process by gathering data, administering standardized tests and reporting observations. The occupational therapy assistant may not evaluate independently or initiate treatment before the supervising occupational therapist performs an assessment/evaluation.</p> <p>(3) Development, interpretation, implementation, and modifications of the treatment plan and the discharge plan.</p> <p>(A) The supervising occupational therapist shall be responsible for delegating the appropriate interventions to the occupational therapy assistant.</p> <p>(B) The occupational therapy assistant may contribute to the preparation, implementation and documentation of the treatment and discharge summary.</p>
Colorado		No relevant Statutes or Regulations
Connecticut	<p>Statute: Chapter 376a, § 20-74a</p> <p>Regulation:</p>	<p>"Occupational therapy assistant" means a person licensed to practice occupational therapy as defined in this chapter and whose license is in good standing.</p> <p>No relevant Regulations</p>
Delaware	<p>Statute: Sec. 20-74a-3</p> <p>Sec. 20-74a-8</p>	<p>"Occupational therapy assistant" shall mean a person licensed to assist in the practice of occupational therapy, under the supervision of an occupational therapist.</p> <p>"Supervision" shall mean the interactive process between the licensed occupational therapist and the</p>

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	<p>Regulation: 24 Del.C. §2007 (a) (1)</p>	<p>occupational therapy assistant. It shall be more than a paper review or cosignature. The supervising occupational therapist is responsible for insuring the extent, kind and quality of the services rendered by the occupational therapy assistant.</p> <p>1.0 Supervision/consultation Requirements for Occupational Therapy Assistants</p> <p>"Occupational therapy assistant" shall mean a person licensed to assist in the practice of occupational therapy under the supervision of an occupational therapist.</p> <p>"Under the supervision of an occupational therapist" means the interactive process between the licensed occupational therapist and the occupational therapy assistant. It shall be more than a paper review or co-signature. The supervising occupational therapist is responsible for insuring the extent, kind, and quality of the services rendered by the occupational therapy assistant. The phrase, "Under the supervision of an occupational therapist," as used in the definition of occupational therapist assistant includes, but is not limited to the following requirements:</p> <p>1.1.1 Communicating to the occupational therapy assistant the results of patient/client evaluation and discussing the goals and program plan for the patient/client;</p> <p>1.1.2 In accordance with supervision level and applicable health care, educational, professional and institutional regulations, reevaluating the patient/client, reviewing the documentation, modifying the program plan if necessary and co-signing the plan.</p> <p>1.1.3 Case management;</p> <p>1.1.4 Determining program termination;</p> <p>1.1.5 Providing information, instruction and assistance as needed;</p> <p>1.1.6 Observing the occupational therapy assistant periodically; and</p> <p>1.1.7 Preparing on a regular basis, but at least annually, a written appraisal of the occupational therapy assistant's performance and discussion of that appraisal with the assistant. The supervisor may assign to a competent occupational therapy assistant the administration of standardized tests, the performance of activities of daily living evaluations and other elements of patient/client evaluation and reevaluation that do not require the professional judgment and skill of an occupational therapist.</p> <p>1.2 Supervision for Occupational Therapy Assistants is defined as follows:</p> <p>1.2.1 Direct Supervision requires the supervising occupational therapist to be on the premises and immediately available to provide aid, direction, and instruction while treatment is performed in any setting including home care. Occupational therapy assistants with experience of less than one (1) full year are required to have direct supervision.</p> <p>1.2.2 Routine Supervision requires direct contact at least every two (2) weeks at the site of work, with interim supervision occurring by other methods, such as telephonic or written communication.</p> <p>1.2.3 General Supervision requires at least monthly direct contact, with supervision available as needed by other methods.</p> <p>1.3 Minimum supervision requirements:</p> <p>1.3.1 Occupational therapy assistants with experience of less than one (1) full year are required to have direct supervision. Occupational therapy assistants with experience greater than one (1) full year must be supervised under either direct, routine or general supervision based upon skill and experience in the field as determined by the supervising OT.</p> <p>1.3.2 Supervising occupational therapists must have at least one (1) year clinical experience after they have received permanent licensure.</p> <p>1.3.3 An occupational therapist may supervise up to three (3) occupational therapy assistants but never more than two (2) occupational therapy assistants who are under direct supervision at the same time.</p> <p>1.3.4 Levels of supervision should be determined by the occupational therapist before the individuals enter into a supervisor/supervisee relationship. The chosen level of supervision should be reevaluated regularly for effectiveness.</p> <p>1.3.5 The supervising occupational therapist, in collaboration with the occupational therapy assistant, shall maintain a written supervisory plan specifying the level of supervision and shall document the supervision of each occupational therapy assistant. Levels of supervision should be determined by the occupational therapist before the individuals enter into a supervisor/supervisee relationship. The chosen level of supervision should be reevaluated regularly for effectiveness. This plan shall be reviewed at least every six months or more frequently as demands of service changes.</p> <p>1.3.6 A supervisor who is temporarily unable to provide supervision shall arrange for substitute supervision by an occupational therapist licensed by the Board with at least one (1) year of clinical experience, as defined above, to provide supervision as specified by Rule 1.0 of these rules and regulations.</p>

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District of Columbia	<p>Statute: Title 3, § 3-1201.02-9</p> <p>Regulation: Chapter 63-6399</p>	<p>B) An individual licensed as an occupational therapy assistant pursuant to this chapter may assist in the practice of occupational therapy under the supervision of or in consultation with a licensed occupational therapist.</p> <p>Direct supervision - supervision in which an occupational therapist is available on the premises and within vocal communication either directly or by a communications device.</p> <p>Occupational therapy assistant - a person licensed to practice as an occupational therapy assistant under the Act.</p>
Florida	<p>Statute: § 468.203-6</p> <p>§ 468.203-8</p>	<p>"Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy, who works under the supervision of an occupational therapist, and whose license is in good standing.</p> <p>"Supervision" means responsible supervision and control, with the licensed occupational therapist providing both initial direction in developing a plan of treatment and periodic inspection of the actual implementation of the plan. Such plan of treatment shall not be altered by the supervised individual without prior consultation with, and the approval of, the supervising occupational therapist. The supervising occupational therapist need not always be physically present or on the premises when the assistant is performing services; however, except in cases of emergency, supervision shall require the availability of the supervising occupational therapist for consultation with and direction of the supervised individual.</p>
Georgia	<p>Statute: § 43-28-3.7</p> <p>Regulation: § 671-2-02</p> <p>§ 671-2-.03</p>	<p>"Occupational therapy assistant" means a person licensed to assist the occupational therapist in the practice of occupational therapy under the supervision of or with the consultation of the licensed occupational therapist and whose license is in good standing.</p> <p>Supervision Defined. Supervision as used in the law shall mean personal involvement of the licensed occupational therapist in the supervisee's professional experience which includes evaluation of his or her performance. Further, supervision shall mean personal supervision with weekly verbal contact and consultation, monthly review of patient care documentation, and specific delineation of tasks and responsibilities by the licensed occupational therapist and shall include the responsibility for personally reviewing and interpreting the results of any habilitative or rehabilitative procedures conducted by the supervisee. It is the responsibility of the licensed occupational therapist to ensure that the supervisee does not perform duties for which he or she is not trained. C.O.T.A.s and limited permit holders must be supervised.</p> <p>Direct Supervision Defined. Direct supervision as used in the Law shall mean daily on-site, close contact whereby the supervisor is able to respond quickly to the needs of the client or supervisee. It requires specific delineation of task and responsibilities by a licensed Occupational Therapist and shall include the responsibility of personally reviewing and interpreting the result of any habilitative or rehabilitative procedures conducted by the supervisee. It is the responsibility of the licensed occupational therapist to ensure that the supervisee does not perform duties for which he/she is not trained.</p>
Guam	<p>Statute: Chapter 12, Article 14, § 121401</p> <p>§ 121410</p>	<p>Definitions (d) Occupational therapy assistant means a person licensed to assist in the practice of occupational therapy who works under the in direct supervision of an occupational therapist, or as otherwise determined by the supervising occupational therapist.</p> <p>Scope of Practice; Occupational Therapy Assistant The occupational therapy assistant works under the supervision of the occupational therapist. The amount, degree and pattern of supervision a practitioner requires varies depending on the employment setting, method of service provision, the practitioner's competence and the demands of service. The occupational therapist is responsible for the evaluation of the client or patient. The treatment plan may be developed by the occupational therapy assistant in collaboration with the</p>

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	Regulation:	occupational therapy assistant. Once the evaluation and treatment plans are established, the occupational therapy assistant may implement and modify various therapeutic interventions as permitted by the Board under the supervision of the occupational therapist. No relevant Regulations
Hawaii	Statute: §457G Regulations:	"Direct supervision" means daily, direct contact at the site of work by a registered occupational therapist. No relevant Regulations
Idaho	Statute: 54-3702-7 Regulation: 22.01.09.010.6 22.01.09.010.0 8	"Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy, who works under the supervision of an occupational therapist. Occupational Therapy Assistant. A person licensed to assist in the practice of Occupational Therapy, who works under the supervision of an Occupational Therapist. a. Occupational Therapy Assistant Supervision. The occupational therapist shall be responsible for the supervision of the occupational therapy assistant. The supervising and consulting therapist need not be physically present or on the premises at all times the occupational therapy assistant is performing the service. The mode and extent of the communication between the supervising or consulting occupational therapist and the occupational therapy assistant shall be determined by the competency of the assistant, the treatment setting and the diagnostic category of the client. Graduate Occupational Therapy Assistant. A person who holds a certificate of graduation from an approved Occupational Therapy Assistant curriculum, has submitted a completed application for licensure by examination and is performing the duties of Occupational Therapy Assistant in association with and under the supervision of an Occupational Therapist and under the authority of a Limited Permit. a. Graduate OTA Supervision. Supervision of a "Graduate Occupational Therapy Assistant" shall require the supervising licensed occupational therapist to review and countersign all patient documentation.
Illinois	Statute: 225 ILCS 75/2 Regulation: §1315.163	"Occupational therapy assistant" means a person initially registered and licensed to assist in the practice of occupational therapy under the supervision of a licensed occupational therapist, and to implement the occupational therapy treatment program as established by the licensed occupational therapist. Such program may include training in activities of daily living, the use of therapeutic activity including task oriented activity to enhance functional performance, and guidance in the selection and use of adaptive equipment. Supervision of an Occupational Therapy Assistant a) A certified occupational therapy assistant shall practice only under the supervision of a registered occupational therapist. Supervision is a process in which 2 or more persons participate in a joint effort to establish, maintain and elevate a level of performance and shall include the following criteria: 1) To maintain high standards of practice based on professional principles, supervision shall connote the physical presence of the supervisors and the assistant at regularly scheduled supervision sessions. 2) Supervision shall be provided in varying patterns as determined by the demands of the areas of patient/client service and the competency of the individual assistant. Such supervision shall be structured according to the assistant's qualifications, position, level of preparation, depth of experience and the environment within which he/she functions. 3) The supervisors shall be responsible for the standard of work performed by the assistant and shall have knowledge of the patients/clients and the problems being discussed. 4) A minimum guideline of formal supervision is as follows: A) The occupational therapy assistant who has less than one year of work experience or who is entering new practice environments or developing new skills shall receive a minimum of 5% on-site face-to-face supervision from a registered occupational therapist per month. On-site supervision consists of direct, face-to-face collaboration in which the supervisor must be on the premises. The remaining work hours must be supervised. B) The occupational therapy assistant with more than one year of experience in his/her current

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		<p>practice shall have a minimum of 5% direct supervision from a registered occupational therapist per month. The 5% direct supervision shall consist of 2% direct, face-to-face collaboration. The remaining supervision shall be a combination of telephone or electronic communication or face-to-face consultation.</p> <p>b) Record Keeping. It is the responsibility of the occupational therapy assistant to maintain on file at the job site signed documentation reflecting supervision activities. This supervision documentation shall contain the following: date of supervision, means of communication, information discussed and the outcomes of the interaction. Both the supervising occupational therapist and the occupational therapy assistant must sign each entry.</p>
Indiana	<p>Statute: IC 25-23.5-1-6</p> <p>Regulation: 844 IAC 10-5-5</p> <p>844 IAC 10-5-6</p>	<p>"Occupational therapy assistant" means a person who provides occupational therapy services under the supervision of an occupational therapist.</p> <p>Supervision of occupational therapy assistant Under the supervision of an occupational therapist, an occupational therapy assistant may contribute to the evaluation process by performing objective tests. The occupational therapy assistant may also contribute to the development and implementation of the treatment plan and the monitoring and documentation of progress. The occupational therapy assistant may not independently develop the treatment plan and/or initiate treatment.</p> <p>Documentation The occupational therapist shall countersign within seven (7) calendar days all documentation written by the occupational therapy assistant, which will become part of the patient's permanent record.</p>
Iowa	<p>Statute: § 148B.2-4</p> <p>§645-206.8 (272C)</p>	<p>"Occupational therapy assistant" means a person licensed under this chapter to assist in the practice of occupational therapy.</p> <p>Supervision requirements.</p> <p>(1) Care rendered by unlicensed personnel shall not be documented or charged as occupational therapy unless direct in-sight supervision is provided by an OT or an OTA.</p> <p>(2) A licensed OTA, OTA limited permit holder or OTA applicant working prior to licensure shall be supervised by a licensed occupational therapist. The supervisor shall:</p> <ol style="list-style-type: none"> a. Ensure that the OTA has a current occupational therapy license and that the OTA limited permit holder or applicant working prior to licensure has a copy of the letter from the board verifying that a current application is on file; b. Provide direct on-site and in-sight supervision for a minimum of four hours per month; c. Complete a patient evaluation prior to treatment by the licensed OTA, OTA limited permit holder, or the OTA applicant working prior to licensure. The time spent evaluating the patient by the supervising OT shall not be considered time spent supervising; d. Complete a written treatment plan outlining which elements have been delegated to the licensed OTA, OTA limited permit holder, or OTA applicant working prior to licensure; e. Monitor patient progress; f. Complete an evaluation of the treatment plan and write a discharge plan; and g. Assign to the licensed OTA, OTA limited permit holder, or OTA applicant only those duties and responsibilities for which the assistant, limited permit holder, or applicant has been specifically trained and is qualified to perform. <p>(3) Supervision of an OT limited permit holder or an OT applicant. An OT limited permit holder or an OT applicant working prior to licensure shall be supervised by a licensed OT. The supervisor shall:</p> <ol style="list-style-type: none"> a. Ensure that the OT limited permit holder or OT applicant working prior to licensure has a copy of the letter from the board verifying that a current application is on file; b. Provide one-to-one supervision for a minimum of two hours per week. <p>The applicant who is practicing prior to licensure may perform the duties of the occupational therapist under the supervision of an Iowa-licensed occupational therapist, except for providing supervision to an occupational therapy assistant.</p> <p>(4) Occupational therapist limited permit holders and occupational therapist applicants working prior to licensure may evaluate clients, plan treatment programs, and provide periodic reevaluations only under supervision of a licensed occupational therapist who shall bear full</p>

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	§645-206.9 (147)	responsibility for care provided under the occupational therapist's supervision. Occupational therapy assistant responsibilities. An occupational therapy assistant shall: 1. Follow the treatment plan written by the supervising occupational therapist outlining which elements have been delegated; 2. Maintain a plan of supervision; and 3. Maintain documentation of supervision on a daily basis that shall be available for review upon request of the board.
Kansas	Statute: Chapter 65-5402 Regulation: 100-54-9	(e) "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under the supervision of an occupational therapist. Occupational therapy assistants; information to board. Before an occupational therapist allows an occupational therapy assistant to work under the occupational therapist's direction, the occupational therapist shall inform the board of the following: (a) the name of each occupational therapy assistant who intends to work under the direction of that occupational therapist; (b) the occupational therapy assistant's place of employment; and (c) the address of the employer.
Kentucky	Statute: §319A.010 Regulation: 201 KAR 28:130.1	(4) "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under this chapter, who works under the supervision of an occupational therapist; Supervision of Licensed Certified Occupational Therapy Assistants. (1) An OTA/L shall assist in the practice of occupational therapy only under the supervision of an OT/L. (2) The supervisor shall provide no less than four (4) hours per month of general supervision for each occupational therapy assistant which shall include no less than two (2) hours per month of face-to-face supervision. (3) The amount of supervision time shall be prorated for a part-time OTA/L. (4) The supervisor or the OTA/L may institute additional supervision based on the competence and experience of the OTA/L. (5) The supervisor shall assign and the OTA/L shall accept only those duties and responsibilities for which the OTA/L has been specifically trained and which the OTA/L is qualified to perform. (6) Specific responsibilities for supervising OT/Ls and OTA/Ls. (a) Assessment and reassessment. 1. Client evaluation is the responsibility of the OT/L. 2. The OTA/L may contribute to the evaluation process by gathering data, administering structured tests, and reporting observations. 3. The OTA/L may not evaluate independently or initiate therapy prior to the OT/L's evaluation. (b) Intervention planning. 1. The OT/L shall take primary responsibility for the intervention planning. 2. The OTA/L may contribute to the intervention planning as directed by the OT/L. (c) Intervention. 1. The OT/L shall be responsible for the outcome and delivery of the occupational therapy intervention. 2. The OT/L shall be responsible for assigning appropriate therapeutic interventions to the OTA/L. (d) Discontinuation of intervention. 1. The OT/L shall be responsible for the discontinuation of occupational therapy services. 2. The OTA/L may contribute to the discontinuation of intervention as directed by the OT/L. (7) Documentation requirements. (a) The supervisor shall countersign those aspects of the initial evaluation, the plan of care, and the discharge summary recorded by the OTA/L within fourteen (14) calendar days of the notation, which documentation shall be included in the client's permanent record. (b) The supervising OT/L and individuals under supervision shall maintain a supervising OT/L's log which shall document:

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		<p>1. The frequency and type of the supervision provided; and</p> <p>2. The process of supervision utilized, such as observation, dialogue and discussion, and instructional techniques employed.</p> <p>(8) A supervising OT/L shall not have more than the equivalent of three (3) full time OTA/Ls under supervision at any one (1) time.</p> <p>(9)(a) In extenuating circumstances, when the OTA/L is without supervision, the OTA/L may continue carrying out established programs for up to thirty (30) calendar days under agency supervision while appropriate occupational therapy supervision is sought.</p> <p>(b) It shall be the responsibility of the OTA/L to notify the board of these circumstances and to submit, in writing, a plan for resolution of the situation.</p> <p>(10) A supervisor shall be responsible for ensuring the safe and effective delivery of OT services and for fostering the professional competence and development of the OTA/Ls under his or her supervision.</p>
Louisiana	<p>Statute: LRS 37:3003</p> <p>Regulation: LAC 46 §1903</p> <p>LAC 46 §4925</p>	<p>D. "Occupational therapy assistant" means a person who is certified as a certified occupational therapy assistant (COTA) by the American Occupational Therapy Association, Inc. (AOTA), and is licensed to assist in the practice of occupational therapy under the supervision of, and in activity programs with the consultation of, an occupational therapist licensed under this Act.</p> <p>Occupational Therapy Assistant is a person who is certified as a certified occupational therapy assistant (COTA) by the American Occupational Therapy Association, Inc. (AOTA), and is licensed to assist in the practice of occupational therapy under the supervision of, and in activity programs with the consultation of, an occupational therapist licensed under this chapter.</p> <p>Supervision of Occupational Therapy Assistant in Home Health Setting</p> <p>A. An occupational therapy assistant may administer occupational therapy in the home health setting under the supervision of a licensed occupational therapist, without the necessity of the continuous physical presence of the supervision occupational therapist, provided that the following conditions and restrictions are strictly observed and complied with.</p> <p>1. The occupational therapy assistant shall have had not less than two years experience in providing occupational therapy in a physical disability setting prior to assuming responsibility for the provision of occupational therapy in a home health environment.</p> <p>2. Before the occupational therapy assistant undertakes to provide occupational therapy to or for a client in a home health setting, the licensed occupational therapist under whose supervision the occupational therapy assistant may provide services shall have conducted an assessment of the client and have established the goals and treatment plan for the client.</p> <p>3. Each client in a home health setting to whom an occupational therapy assistant administers occupational therapy shall be visited jointly by the occupational therapy assistant and the supervision licensed occupational therapist not less than once every two weeks or every fifth treatment session.</p> <p>4. All therapy administered by an occupational therapy assistant in a home health setting shall be promptly, accurately, and completely documented by the occupational therapy assistant and, within 72 hours of the completion of such documentation, countersigned by the supervision occupational therapist.</p> <p>B. The administration of occupational therapy in a home health setting by an occupational therapy assistant other than in accordance with the provisions of this section shall be deemed a violation of these rules, subjecting the occupational therapy assistant to suspension or revocation of licensure pursuant to § 4921.A.18.</p>
Maine	<p>Statute: § 2272-5</p> <p>§ 2272-14</p>	<p>Certified occupational therapy assistant.</p> <p>"Certified occupational therapy assistant" means an individual who has passed the certification examination of the National Board for Certification in Occupational Therapy for an occupational therapy assistant or who was certified as an occupational therapy assistant prior to June 1977 and who is licensed to practice occupational therapy under this chapter in the State under the supervision of an occupational therapist.</p> <p>Supervision of COTA.</p> <p>"Supervision of COTA" means initial directions and periodic inspection of the service delivery and provision of relevant in-service training. The supervising licensed occupational therapist shall determine the frequency and nature of the supervision to be provided based on the clients' required level of care and the COTA's caseload, experience and competency.</p>

State	Citation	Legislative / Regulatory information
	<p data-bbox="261 201 399 258">Regulation: 02-477-5.1</p> <p data-bbox="261 779 383 806">02-477-5.2</p> <p data-bbox="261 1661 383 1688">02-477-5.3</p>	<p data-bbox="451 201 922 228">Role of the Occupational Therapy Assistant</p> <p data-bbox="451 233 829 260">The occupational therapy assistant:</p> <ol data-bbox="451 264 1539 747" style="list-style-type: none"> 1. Is permitted to assist in the practice of occupational therapy only under the supervision of an occupational therapist; 2. Must exercise sound judgement and provide appropriate care in the performance of duties; 3. Must not initiate a treatment program until the client has been evaluated and treatment has been planned by the occupational therapist, and may not discharge the client from a treatment program without supervision from the occupational therapist; 4. Must not perform an evaluation, but is permitted to contribute to the evaluation process with the supervision of the occupational therapist; 5. Is permitted to participate in the screening process by collecting data, such as records, by general observation and/or by conducting a general interview, and communicate in writing or orally the information gathered to the occupational therapist; 6. Is permitted to track the need for reassessment, report changes in status that might warrant reassessment or referral, and administer the reassessment under the supervision of the occupational therapist; and 7. Must immediately discontinue any specific treatment procedure which appears harmful to the client and so notify the supervising occupational therapist. <p data-bbox="451 779 1438 835">Skill and Supervision Levels Applicable to Occupational Therapy Assistants and Temporary Occupational Therapists.</p> <p data-bbox="451 840 581 867">Skill levels:</p> <ol data-bbox="451 871 1528 1079" style="list-style-type: none"> 1. Entry Level - Working on initial skill development (0-1 year experience) or working in a new area of practice. 2. Intermediate level - Increased independence and mastery basic roles and functions. Demonstrates ability to respond to new situations based on previous experience (generally 1-5 years' experience). 3. Advanced level - Refinement of skills with the ability to understand complex issues and respond accordingly. <p data-bbox="451 1083 659 1110">Supervision levels:</p> <ol data-bbox="451 1115 1536 1629" style="list-style-type: none"> 1. Direct Supervision - Daily, direct contact at the site of work with the supervisor physically present at all times within the facility when the supervisee renders care. This supervision may be recommended by the Board or chosen by the supervisor in specific circumstances. 2. Close Supervision - Daily, direct contact at the site of work. The occupational therapist provides direction in developing the plan of treatment and periodically inspects the actual implementation of the plan. This supervision is appropriate for the temporary and entry level occupational therapy assistants. 3. Routine Supervision - Requires direct contact at least every two weeks at the site of work, with interim supervision occurring by other methods, such as by telephone or written communication. This supervision is appropriate for a temporary occupational therapist or for an intermediate level occupational therapy assistant. 4. General Supervision - Initial direction and periodic review of the following: service delivery, update of treatment plans, and treatment outcomes. The supervisor need not at all times be present at the premises where the occupational therapy assistant is performing the professional services. However, not less than monthly direct contact must be provided, with supervision available as needed by other methods. This supervision is appropriate for an intermediate to advanced occupational therapy assistant. <p data-bbox="451 1661 1403 1688">Supervision of Occupational Therapy Assistants and Temporary Occupational Therapists</p> <ol data-bbox="451 1692 1523 1961" style="list-style-type: none"> 1. Supervision principles. The occupational therapist has the ultimate responsibility for occupational therapy treatment outcomes. Supervision is a shared responsibility. The supervising occupational therapist has a legal and ethical responsibility to provide supervision, and the supervisee has a legal and ethical responsibility to obtain supervision. Supervision is required even when the supervisee is experienced and/or highly skilled in a particular area. 2. Supervision parameters. The level of supervision required shall be determined by the skill level of the individual whose practice is being supervised.

State	Citation	Legislative / Regulatory information
		<ul style="list-style-type: none"> • Direct - Daily direct contact and supervisor present at all times within the facility when the supervisee renders care. Chosen in specific circumstances or by supervisor. • Close - Daily direct contact at the site of work, OT provides direction in developing the plan of Rx, and OT periodically inspects the actual implementation of the plan. Entry-level OTA or temporary OTA. • Routine - Direct contact at least once every two weeks at site of work and interim supervision by other methods, such as telephone or written communication. Temporary OT or Intermediate-level OTA. • General - Initial direction, periodic review and inspection of service delivery, update of treatment plans and treatment outcomes. Not less frequent than monthly direct contact - Supervisor need not be present on the premises where OTA renders care for contact to qualify as "direct monthly". Intermediate-level OTA or Advanced-level OTA. <p>3. Supervision Standards:</p> <ul style="list-style-type: none"> A. To maintain high standards of practice: "Supervision" connotes the physical presence of the supervisor and the supervisee at regularly scheduled one-to-one supervision sessions. B. Supervision is provided in varying patterns as determined by the demands of the areas of client service and the competency of the individual supervisee. Supervision is structured according to the supervisee's qualifications, position, level of preparation, depth of experience and the environment within which he/she functions. C. The supervisor is responsible for the standard of work performed by the supervisee and must have knowledge of the client and the problems being discussed. D. A minimum guideline of formal on-site face-to-face supervision is 2.5 percent (or 1 hour per 40 hours worked) of the supervisee's work hours. Supervision shall be provided a minimum of once a month. For the temporary and entry level occupational therapy assistant, a minimum guideline of formal on-site face-to-face supervision is 5 percent (or 2 hours per 40 hours worked). <p>4. Documentation of Supervision:</p> <ul style="list-style-type: none"> A. Supervisor's Affidavit - The licensed occupational therapy assistant or temporary occupational therapy practitioner shall designate, on a Board approved form, the supervising occupational therapist and the facilities or settings within which the occupational therapy assistant or temporary occupational therapy practitioner shall work. A form shall be filed for each place of employment for the licensed occupational therapy assistant or temporary occupational therapy practitioner. In a setting where there is more than one occupational therapist delegating to a occupational therapy assistant or temporary occupational therapy practitioner, one occupational therapist shall be the designated supervisor who completes the affidavit form and ensures the requirements have been met. B. Change in Supervision - The Board shall be notified regarding changes in supervision for temporary licensees and occupational therapy assistants within fifteen (15) days of the change. Notification shall be in the form of a signed supervisor's affidavit form. C. Supervisor Absence - In extenuating circumstances, when the supervising occupational therapist is absent from the job, occupational therapy assistant or temporary occupational therapy practitioner is limited to carry out established programs under employer supervision for up to 15 calendar days while appropriate occupational therapy supervision is sought. The occupational therapist shall provide up-to-date treatment documentation prior to any planned absence. D. The documentation of supervision shall be available for inspection if requested by the Board. This supervision shall meet the minimum guidelines outlined in Section 2 of this chapter. This shall include dates, general content of supervision session, and time. This documentation shall be completed by the individual who has signed the affidavit to verify that the supervision has been provided.
Maryland	Statute: §10-101	<p>(e) Direct supervision. "Direct supervision" means supervision provided on a face-to-face basis by a supervising therapist when delegated client-related tasks are performed.</p> <p>h) Licensed occupational therapy assistant. "Licensed occupational therapy assistant" means, unless the context requires otherwise, an occupational therapy assistant who is licensed by the Board to practice limited occupational therapy.</p>

State	Citation	Legislative / Regulatory information
		<p>(m) Occupational therapy assistant. “Occupational therapy assistant” means an individual who practices limited occupational therapy.</p> <p>(q) On-site supervision. “On-site supervision” means supervision in which a supervisor is immediately available on a face-to-face basis when client procedures are performed or as otherwise necessary.</p> <p>(r) Periodic supervision. (1) “Periodic supervision” means supervision by a licensed occupational therapist on a face-to-face basis, occurring the earlier of at least: (i) Once every 10 therapy visits; or (ii) Once every 30 calendar days. (2) “Periodic supervision” includes: (i) Chart review; and (ii) Meetings to discuss client treatment plans, client response, or observation of treatment.</p> <p>(s) Supervision. “Supervision” means aid, direction, and instruction provided by an occupational therapist to adequately ensure the safety and welfare of clients during the course of occupational therapy.</p>
	§10-310	<p>Scope of occupational therapy assistant license. (a) In general. — Subject to subsection (b) of this section, an occupational therapy assistant license authorizes the licensee to practice limited occupational therapy while the license is effective. (b) Supervision required. — A licensed occupational therapy assistant may practice limited occupational therapy only under the supervision of an occupational therapist who is authorized to practice occupational therapy in this State.</p>
	Regulation: 10.46.01.5	<p>“Direct supervision” means supervision provided on a face-to-face basis by a supervising therapist when delegated client-related tasks are performed.</p>
	10.46.01.10	<p>“Occupational therapy assistant” means, unless the context requires otherwise, an occupational therapy assistant who is licensed by the Board to practice limited occupational therapy.</p>
	10.46.01.11	<p>“On-site supervision” means supervision in which a supervisor is immediately available on a face-to-face basis when client procedures are performed or as otherwise necessary.</p>
	10.46.01.12	<p>(12) Periodic Supervision. (a) “Periodic supervision” means supervision by an occupational therapist on a face-to-face basis, for each client, occurring the earlier of at least: (i) Once every 10 therapy visits; or (ii) Once every 30 calendar days. (b) “Periodic supervision” includes: (i) Chart review; and (ii) Meetings to discuss client treatment plans, client response, or observation of treatment.</p>
	10.46.01.15	<p>“Supervision” means the giving, by an individual designated as supervisor, of aid, direction, and instruction that is adequate to ensure the safety and welfare of clients during the provision of occupational therapy.</p>
	10.46.01.03	<p>Supervision Requirements. A. Occupational Therapist. (1) An occupational therapist shall exercise sound judgment and provide adequate care in the performance of duties as provided in nationally recognized standards of practice. (2) An occupational therapist shall document client information as follows: (a) Evaluation; (b) Treatment program; (c) Progress reports; (d) Reevaluations; (e) Discharge summaries;</p>

State	Citation	Legislative / Regulatory information
		<p>(f) Verbal orders; and (g) Clarification orders. (3) An occupational therapist is permitted to supervise the following: (a) Occupational therapy assistant; (b) Aide; (c) Temporary occupational therapy licensee; (d) Temporary occupational therapy assistant licensee; and (e) Occupational therapy student or occupational therapy assistant student. (4) Unless otherwise stated, a supervisor need not be physically present on the premises at all times, but may be available by telephone or by other electronic communication means.</p> <p>B. Occupational Therapy Assistant. (1) Subject to the requirements of this section, an occupational therapy assistant may practice limited occupational therapy only under the periodic supervision of an occupational therapist. (2) In accordance with Regulation .05 of this chapter, an occupational therapy assistant shall document client information as follows: (a) Progress reports; (b) Reevaluations; (c) Discharge summaries; and (d) Verbal orders. (3) In addition to the other requirements specified by this section, supervision requires that before the initiation of the treatment program and before a planned discharge, the supervising occupational therapist shall provide, by verbal conversation, initial direction to the occupational therapy assistant. (4) In addition to the provisions of §B(2) of this regulation, the supervising occupational therapist working with the occupational therapy assistant shall determine the appropriate amount and type of supervision necessary in response to: (a) Experience and competence of the occupational therapy assistant; (b) Change in a client's status; and (c) Complexity of the treatment program. (5) An occupational therapy assistant, under the direction of the occupational therapist, is permitted to be the primary supervisor for the following: (a) Aide; (b) Temporary occupational therapy assistant licensee; (c) Fieldwork Level I occupational therapy student; and (d) Fieldwork Level I and Level II occupational therapy assistant student. (6) The occupational therapy assistant may be utilized to facilitate occupational therapy student and occupational therapy assistant student learning experiences in both Level I and Level II fieldwork under the direction of the occupational therapist. (7) The supervising occupational therapist and the occupational therapy assistant shall be jointly responsible for maintaining documentation as set forth in Regulation .05 of this chapter.</p>
Massachusetts	<p>Statute: MGL 112 §23A</p> <p>Regulation: 259 CMR 2.01</p> <p>259 CMR 3.02</p>	<p>""Occupational therapy assistant", a person duly licensed in accordance with section twenty-three B and who assists in the practice of occupational therapy who works under the supervision of a duly licensed occupational therapist.</p> <p>Direct Supervision. A process by which a supervisor is on the premises and available to provide supervision in the form of aid, direction, and instruction when procedures or activities are performed.</p> <p>Supervision. A process by which two or more people participate in joint effort to establish, maintain and elevate a level of performance. Supervision requires the physical presence of all parties at regularly scheduled supervision sessions. Supervision is structured according to the supervisee's qualifications, position, level of preparation, depth of experience and the environment within which the supervisee functions.</p> <p>Use of Supportive Personnel (1) Responsibility for Supportive Personnel. Primary responsibility for occupational therapy care rendered by supportive personnel rests with the supervising occupational therapist. (2) Supervision of Occupational Therapy Assistants and Occupational Therapy Aides. Adequate</p>

State	Citation	Legislative / Regulatory information
	259 CMR 3.03	<p>supervision of occupational therapy assistants and occupational therapy aides requires, at a minimum, that a supervising occupational therapist perform the following:</p> <ul style="list-style-type: none"> (a) provide initial evaluation; (b) interpret available information concerning the individual under care; (c) develop plan of care, including long and short term goals; (d) identify and document precautions, special problems, contraindications, anticipated progress, and plans for reevaluation; (e) select and delegate appropriate tasks in the plan of care; (f) designate or establish channels of written and oral communication; (g) assess competence of supportive personnel to perform assigned tasks; (h) direct and supervise supportive personnel in delegated tasks; and (i) re-evaluate, adjust plan of care when necessary, perform final evaluation and establish follow-up plan. <p>(3) Supervision by Occupational Therapists.</p> <ul style="list-style-type: none"> a. Occupational therapists must exercise their professional judgement when determining the number of supportive personnel they can safely and effectively supervise to ensure that quality care is provided at all times. b. Licensed occupational therapy personnel must provide adequate staff to patient ratio at all times to ensure the provision of safe, quality care. c. An occupational therapist must provide supervision to the following persons rendering occupational therapy services: <ul style="list-style-type: none"> 1. occupational therapy assistants; and 2. temporary license holders. d. An occupational therapist must provide direct supervision to the following persons rendering occupational therapy services: <ul style="list-style-type: none"> 1. occupational therapist students; 2. occupational therapy assistant students; and 3. occupational therapy aides, rehabilitation aides, or persons known by other similar titles. <p>(4) Performance of Services by Occupational Therapy Assistants.</p> <ul style="list-style-type: none"> (a) Occupational therapy assistants may not initiate or alter a treatment program without prior evaluation by and approval of the supervising occupational therapist. (b) Occupational therapy assistants may, with prior approval of the supervising occupational therapist, adjust a specific treatment procedure in accordance with changes in patient status. (c) Occupational therapy assistants may not interpret data beyond the scope of their occupational therapy assistant education. (d) Occupational therapy assistants may respond to inquiries regarding patient status to appropriate parties within the protocol established by the supervising occupational therapist. (e) Occupational therapy assistants shall refer inquiries regarding patient prognosis to a supervising occupational therapist. <p>(5) Supervision by Occupational Therapy Assistants.</p> <ul style="list-style-type: none"> (a) Occupational therapy assistants must exercise their professional judgement when determining the number of supportive personnel they can safely and effectively supervise to ensure that quality care is provided at all times. (b) An occupational therapy assistant must provide supervision to occupational therapy assistants with temporary licenses. (c) An occupational therapy assistant must provide direct supervision to the following persons providing occupational therapy services: <ul style="list-style-type: none"> 1. occupational therapy assistant students; and 2. occupational therapy aides, rehabilitation aides or persons known by other similar titles. <p>Co-signing of Documentation</p> <ul style="list-style-type: none"> (1)(a) The supervising occupational therapist must co-sign the documentation of occupational therapy students and those holding temporary licenses as occupational therapists. (b) The supervising occupational therapist or occupational therapy assistant must co-sign the documentation of occupational therapy assistant students, those holding temporary licenses as occupational therapy assistants, and occupational therapy aides, rehabilitation aides, and persons known by other similar titles. (2) Occupational therapy assistants are not required to have their documentation co-signed. (3) Occupational therapy aides, rehabilitation aides, or persons known by other similar titles may not

State	Citation	Legislative / Regulatory information
		make entries in a patient's record regarding the patient's status. Information describing impairments (such as ROM, strength, cognition, balance, <i>etc</i>) and function, as well as subjective information (such as patient responses to treatment, report of symptoms and psychological status), is considered to be patient status information which may not be entered in a patient record by aides or persons known by similar titles. Objective information, such as the number of repetitions performed, may be entered on log and flow sheet type documents by aides and such other persons. Entries of objective information by aides and such other persons must be co-signed by the supervising occupational therapist or occupational therapy assistant, in accordance with the requirements of 259 CMR.
Michigan	Statute: 333.18301 Regulation:	Definitions; principles of construction. (a) "Certified occupational therapy assistant" means an individual registered as a certified occupational therapy assistant in accordance with this article. No relevant Regulations
Minnesota	Statute: §148.6402-10 §148.6402-16 §148.6432	Direct Supervision. "Direct supervision" of an occupational therapy assistant using physical agent modalities means that the occupational therapist has evaluated the patient and determined a need for use of a particular physical agent modality in the occupational therapy treatment plan, has determined the appropriate physical agent modality application procedure, and is available for in-person intervention while treatment is provided. Occupational Therapy Assistant. Except as provided in section 148.6410, subdivision 3, "occupational therapy assistant" means an individual who meets the qualifications for an occupational therapy assistant in sections 148.6401 to 148.6450 and is licensed by the commissioner. For purposes of section 148.6410, subdivision 3, occupational therapy assistant means the employment title of a natural person before June 17, 1996. Supervision Of Occupational Therapy Assistants. 1. Applicability. If the professional standards identified in section 148.6430 permit an occupational therapist to delegate an evaluation, reevaluation, or treatment procedure, the occupational therapist must provide supervision consistent with this section. Supervision of occupational therapy assistants using physical agent modalities is governed by section 148.6440, subdivision 6. 2. Evaluations. The occupational therapist shall determine the frequency of evaluations and reevaluations for each client. The occupational therapy assistant shall inform the occupational therapist of the need for more frequent reevaluation if indicated by the client's condition or response to treatment. Before delegating a portion of a client's evaluation pursuant to section 148.6430, the occupational therapist shall ensure the service competency of the occupational therapy assistant in performing the evaluation procedure and shall provide supervision consistent with the condition of the patient or client and the complexity of the evaluation procedure. 3. Treatment. (a) The occupational therapist shall determine the frequency and manner of supervision of an occupational therapy assistant performing treatment procedures delegated pursuant to section 148.6430, based on the condition of the patient or client, the complexity of the treatment procedure, and the proficiencies of the occupational therapy assistant. (b) Face-to-face collaboration between the occupational therapist and the occupational therapy assistant shall occur, at a minimum, every two weeks, during which time the occupational therapist is responsible for: (1) planning and documenting an initial treatment plan and discharge from treatment; (2) reviewing treatment goals, therapy programs, and client progress; (3) supervising changes in the treatment plan; (4) conducting or observing treatment procedures for selected clients and documenting appropriateness of treatment procedures. Clients shall be selected based on the occupational therapy services provided to the client and the role of the occupational therapist and the occupational therapy assistant in those services; and (5) ensuring the service competency of the occupational therapy assistant in performing delegated treatment procedures.

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		<p>(c) Face-to-face collaboration must occur more frequently than every two weeks if necessary to meet the requirements of paragraph (a) or(b).</p> <p>(d) The occupational therapist shall document compliance with this subdivision in the client's file or chart.</p> <p>4. Exception. The supervision requirements of this section do not apply to an occupational therapy assistant who:</p> <p>(1) works in an activities program; and</p> <p>(2) does not perform occupational therapy services. The occupational therapy assistant must meet all other applicable requirements of sections 148.6401 to 148.6450.</p>
Mississippi	<p>Statute: 73-24-3</p> <p>Regulation: 1.3</p> <p>10-1</p> <p>10.2</p>	<p>e) "Occupational therapy assistant" shall mean a person licensed to assist in the practice of occupational therapy under the supervision of or with the consultation of the licensed occupational therapist, and whose license is in good standing.</p> <p>"Occupational Therapy Assistant" means a person licensed to assist in the practice of occupational therapy under the supervision of or with the consultation of a licensed occupational therapist, and whose license is in good standing.</p> <p>"Direct supervision" means the daily, direct, on-site contact at all times of a licensed occupational therapist or occupational therapy assistant when an occupational therapy aide assists in the delivery of patient care.</p> <p>An occupational therapy assistant (OTA), shall be defined as an individual who meets the qualifications and requirements as set forth in Section IV of these regulations, and has been issued a license by the Department. The roles and responsibilities of an OTA are:</p> <p>A. To practice only under the supervision of, or in consultation with, an occupational therapist licensed to practice in Mississippi.</p> <p>B. To assist with but not perform total patient evaluations.</p> <p>C. To perform treatment procedures as delegated by the occupational therapist.</p> <p>D. To supervise other supportive personnel as charged by the occupational therapist.</p> <p>E. To notify the occupational therapist of changes in the patient's status, including all untoward patient responses.</p> <p>F. To discontinue immediately any treatment procedures which in their judgment appear to be harmful to the patient.</p> <p>G. To refuse to carry out treatment procedures that they believe to be not in the best interest of the patient.</p> <p>Supervision or Consultation:</p> <p>A. An occupational therapy assistant issued a limited permit (see section 4-5).</p> <p>B. An occupational therapy assistant issued a regular license.</p> <p>1. Supervision or consultation which means face to face meetings of supervisor and supervisee (OT and OTA) to review and evaluate treatment and progress at the work site, and regular interim communication between the supervisor and supervisee. A face to face meeting is held at least once every seventh treatment day or 21 calendar days, whichever comes first.</p> <p>2. The supervising occupational therapist must be accessible by telecommunications to the occupational therapy assistant on a daily basis while the occupational therapy assistant is treating patients.</p> <p>3. Regardless of the practice setting, the following requirements must be observed when the occupational therapist is supervising or consulting with the occupational therapy assistant:</p> <p>a. The initial visit for evaluation of the patient and establishment of a plan of care must be made by the supervising or consulting occupational therapist.</p> <p>b. A joint supervisory visit must be made by the supervising occupational therapist and the occupational therapy assistant with the patient present at the patient's residence or treatment setting once every 7 treatment days or every 21 days, whichever comes first.</p> <p>c. A supervisory visit should include:</p> <p>1) A review of activities with appropriate revision or termination of the plan of care</p> <p>2) An assessment of utilization of outside resources (whenever applicable)</p> <p>3) Documentary evidence of such visit</p> <p>4) Discharge planning as indicated</p>

State	Citation	Legislative / Regulatory information
		<p>d. An occupational therapist may not supervise/consult with more than two (2) occupational therapy assistants except in school settings, or settings where maintenance or tertiary type services are provided, such as the regional treatment centers under the direction of the Department of Mental Health.</p>
Missouri	<p>Statute: §324.050-8</p> <p>§324.056.2</p> <p>Regulation: 4 CSR 205-4.010</p>	<p>"Occupational therapy assistant", a person who is licensed as an occupational therapy assistant by the division, in collaboration with the board. The function of an occupational therapy assistant is to assist an occupational therapist in the delivery of occupational therapy services in compliance with federal regulations and rules promulgated by the division, in collaboration with the Missouri board of occupational therapy.</p> <p>2. A licensed occupational therapy assistant shall be directly supervised by a licensed occupational therapist. The licensed occupational therapist shall have the responsibility of supervising the occupational therapy treatment program. No licensed occupational therapist shall have under his or her direct supervision more than four occupational therapy assistants.</p> <p>Supervision of Occupational Therapy Assistants and Occupational Therapy Assistant Limited Permit Holders</p> <p>(1) An occupational therapy assistant and/or occupational therapy assistant limited permit holder shall assist an occupational therapist in the delivery of occupational therapy services in compliance with all state and federal statutes, regulations, and rules.</p> <p>(2) The occupational therapy assistant or occupational therapy assistant limited permit holder may only perform services under the direct supervision of an occupational therapist.</p> <p>(A) The manner of supervision shall depend on the treatment setting, patient/client caseload, and the competency of the occupational therapy assistant and/or occupational therapy assistant limited permit holder as determined by the supervising occupational therapist. At a minimum, supervision shall include consultation of the occupational therapy assistant and/or occupational therapy assistant limited permit holder with the supervising occupational therapist prior to the initiation of any patient's/client's treatment plan and modification of treatment plan.</p> <p>(B) More frequent face-to-face supervision may be necessary as determined by the occupational therapist or occupational therapy assistant and/or occupational therapy assistant limited permit holder dependent on the level of expertise displayed by the occupational therapy assistant and/or occupational therapy assistant limited permit holder, the practice setting, and/or the complexity of the patient/client caseload.</p> <p>(C) Supervision shall be an interactive process between the occupational therapist and occupational therapy assistant and/or occupational therapy assistant limited permit holder. It shall be more than peer review or co-signature. The interactive process shall include but is not limited to the patient/client assessment, reassessment, treatment plan, intervention, discontinuation of intervention, and/or treatment plan.</p> <p>(D) The supervising occupational therapist or the supervisor's designee must be available for immediate consultation with the occupational therapy assistant and/or occupational therapy assistant limited permit holder. The supervisor need not be physically present or on the premises at all times.</p> <p>(3) The supervising occupational therapist has the overall responsibility for providing the necessary supervision to protect the health and welfare of the patient/client receiving treatment from an occupational therapy assistant and/or occupational therapy assistant limited permit holder. The supervising occupational therapist shall.</p> <p>(A) Be licensed by the board as an occupational therapist, this shall not include a limited permit holder;</p> <p>(B) Have a minimum of one (1) year experience as a licensed occupational therapist;</p> <p>(C) Not be under restriction or discipline from any licensing board or jurisdiction;</p> <p>(D) Not have more than four (4) full-time equivalent (FTE) occupational therapy assistants under his/her supervision at one time;</p> <p>(E) Be responsible for all referrals of the patient/client;</p> <p>(F) Be responsible for completing the patient's evaluation/assessment. The occupational therapy assistant and/or occupational therapy assistant limited permit holder may contribute to the screening and/or evaluation process by gathering data, administering standardized tests and reporting observations. The occupational therapy assistant and/or occupational therapy assistant limited permit holder may not evaluate independently or initiate treatment before the supervising occupational therapist's evaluation/assessment;</p>

State	Citation	Legislative / Regulatory information
		<p>(G) Be responsible for developing and modifying the patient's treatment plan. The treatment plan must include goals, interventions, frequency, and duration of treatment. The supervising occupational therapist shall be responsible for the outcome of the treatment plan and assigning of appropriate intervention plans to the occupational therapy assistant and/or occupational therapy assistant limited permit holder within the competency level of the occupational therapy assistant and/or occupational therapy assistant limited permit holder;</p> <p>(H) Be responsible for preparing, implementing, and documenting the discharge plan. The occupational therapy assistant and/or occupational therapy assistant limited permit holder may contribute to the process; and</p> <p>(I) Ensure that all patient/client documentation becomes a part of the permanent record.</p> <p>(4) The supervising occupational therapist has the overall responsibility for providing the necessary supervision to protect the health and welfare of the patient/client receiving treatment from an occupational therapy assistant and/or occupational therapy assistant limited permit holder. However, this does not absolve the occupational therapy assistant and/or occupational therapy assistant limited permit holder from his/her professional responsibilities. The occupational therapy assistant and/or occupational therapy assistant limited permit holder shall exercise sound judgement and provide adequate care in the performance of duties. The occupational therapy assistant and/or occupational therapy assistant limited permit holder shall.</p> <p>(A) Not initiate any patient/client treatment program or modification of said program until the supervising occupational therapist has evaluated, established a treatment plan and consulted with the occupational therapy assistant and/or occupational therapy assistant limited permit holder;</p> <p>(B) Not perform an evaluation/assessment, but may contribute to the screening and/or evaluation process by gathering data, administering standardized tests and reporting observations;</p> <p>(C) Not analyze or interpret evaluation data;</p> <p>(D) Track the need for reassessment and report changes in status that might warrant reassessment or referral;</p> <p>(E) Immediately suspend any treatment intervention that appears harmful to the patient/client and immediately notify the supervising occupational therapist; and</p> <p>(F) Ensure that all patient/client documentation prepared by the occupational therapy assistant and/or occupational therapy assistant limited permit holder becomes a part of the permanent record.</p> <p>(5) The supervisor shall ensure that the occupational therapy assistant and/or occupational therapy assistant limited permit holder provides occupational therapy as defined in section 324.050, RSMo appropriate to and consistent with his/her education, training, and experience.</p>
Montana	<p>Statute: 37-24-103-2</p> <p>Regulation: 8.35.415</p> <p>8.35.416</p>	<p>"Certified occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under this chapter, who works under the general supervision of an occupational therapist in accordance with the provisions of the national board for certification in occupational therapy, inc., and adopted by the board.</p> <p>Supervision - General Statement</p> <p>(1)The supervisor shall determine the degree of supervision to administer to the supervisee based on the supervisor's estimation of the supervisee's clinical experience, responsibilities, and competence at a minimum.</p> <p>(2) A fully-licensed occupational therapist shall not require supervision.</p> <p>(3) A certified occupational therapist assistant, in accordance with 37-24-103(2), MCA, shall work under the general supervision of a licensed occupational therapist.</p> <p>(4) Temporary practice permit holders under 37-1-305(2), MCA, shall work under the routine supervision of a certified occupational therapist assistant or a licensed occupational therapist.</p> <p>(5) Entry-level practitioners shall be defined as practitioners having less than six month's experience in the specific practice setting and may on a case-by-case basis, require supervision as determined by the board.</p> <p>(6) Occupational therapy aides under 37-24-103(6), MCA, shall work under the direct supervision of a licensed occupational therapist or a certified occupational therapist assistant. Occupational therapy aides shall have no supervisory capacity.</p> <p>Supervision - Methods</p> <p>(1) Direct supervision shall require the supervisor to be physically present in the direct treatment area of the client-related activity being performed by the supervisee. Direct supervision requires face-to-face communication, direction, observation and evaluation on a daily basis.</p> <p>(2) Routine supervision requires direct contact at least daily at the site of work, with interim</p>

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		<p>supervision occurring by other methods, such as telephonic, electronic or written communication.</p> <p>(3) General supervision requires face-to-face communication, direction, observation and evaluation by the supervisor of the supervisee's delivery of client services at least monthly at the site of client-related activity, with interim supervision occurring by other methods, such as telephonic, electronic or written communication.</p>
Nebraska	<p>Statute: 71-6103-11</p> <p>Regulation: 114-002</p> <p>114-012</p> <p>114-012.01</p> <p>114-012.02</p> <p>114-012.03</p> <p>114-012.04</p>	<p>Occupational therapy assistant means a person holding an active license as an occupational therapy assistant;</p> <p>Certified occupational therapy assistant means a person who is certified in accordance with guidelines established by the American Occupational Therapy Certification Board.</p> <p>Occupational therapy assistant means a person holding an active license as an occupational therapy assistant.</p> <p>Supervision means the process by which the quantity and quality of work of an Occupational Therapy Assistant is monitored. Supervision means the directing of the authorized activities of an Occupational Therapy Assistant by a licensed Occupational Therapist and must not be construed to require the physical presence of the supervisor when carrying out assigned duties.</p> <p>Role Delineation For Occupational Therapy Assistant: A licensed Occupational Therapy Assistant may perform the following duties while under the supervision of a licensed Occupational Therapist or while consulting with a licensed Occupational Therapist:</p> <p>114-012.01 Explain overall Occupational Therapy services to client, family, or others who have legitimate interest in the case;</p> <p>114-012.02 Solicit referrals from appropriate sources or acknowledge referrals received before or after initial screening for the purpose of initiating Occupational Therapy services by: 1. Responding to a request for service by relaying information or formal referral to the licensed Occupational Therapist; and 2. Entering cases as appropriate to standards of facility, department and profession when authorized by supervising Occupational Therapist.</p> <p>114-012.03 Assess need for, nature of, and estimated time of treatment, determining the needed coordination with other persons involved and documenting the activities by: 1. Screening client to determine client's need for Occupational Therapy services. This may occur before or after referral by: a. Obtaining and reviewing written information about the client from medical records, school records, therapist records, etc.; b. Interviewing client, family, or others with legitimate interest in the case using a structured guide to obtain general history and information about: (1) Family history, self-care abilities, academic history, vocational history, play history, and leisure interest and experiences; and c. Organizing, summarizing, and recording data collected by OTA and reporting such data to licensed Occupational Therapist. 2. Observing client while engaged in individual and/or group activity to collect general data and report on independent living/daily living skills, selected sensorimotor skills, cognitive skills, and psychosocial skills; 3. Administering standardized and criterion referenced tests as directed by licensed Occupational Therapist to collect data on independent living/daily living skills and performance, sensorimotor developmental status, and cognitive skills and performance in the area of orientation; 4. Summarizing, recording, and reporting own evaluation data to licensed Occupational Therapist; 5. Assisting with the evaluation of the data collected; and 6. Reporting evaluation data as determined by the licensed Occupational Therapist to other appropriate persons.</p> <p>114-012.04 Assist with the identification and documentation of achievable treatment goals to:</p>

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	114-012.05	<p>1. Develop, improve, and/or restore the performance of necessary functions; compensate for dysfunction, and/or minimize debilitation in the areas of:</p> <ol style="list-style-type: none"> Independent living/daily living skills and performance; Sensorimotor skills and performance in gross and fine coordination, strength and endurance, range of motion, and tactile awareness; Cognitive skills and performance; and Psychosocial skills and performance. <p>Assist in the identification and documentation of treatment methods by:</p> <ol style="list-style-type: none"> Selecting Occupational Therapy techniques, and media, and determining sequence of activities to attain goals in areas designated under 172 NAC 114-012.04 item 1 by: <ol style="list-style-type: none"> Analyzing activities in reference to client's interests and abilities, major motor processes, complexity, steps involved, and extent to which it can be modified or adapted; Adapting techniques/media to meet client need; and Discussing Occupational Therapy treatment plan with client, family, others with legitimate interests and staff.
	114-012.06	<p>Assist with implementation or modification of a treatment plan by the use of specific activities or methods which improve or restore performance of necessary functions; compensate for dysfunction; and/or minimize debilitation. The Occupational Therapy Assistant, under the direction of a licensed Occupational Therapist, shall:</p> <ol style="list-style-type: none"> Engage client in purposeful activity, in conjunction with therapeutic methods, to achieve goals identified in the treatment plan in the following areas: <ol style="list-style-type: none"> Independent living/daily living skills which include physical, psychological, emotional, work, and play; Sensorimotor components which include neuromuscular, tactile awareness, and postural balance; Cognitive components which include orientation and conceptualization; Therapeutic adaptation which includes orthotics, and assistive/adaptive equipment; and Prevention which includes energy conservation, joint protection/body mechanics, positioning and coordination of daily living skills. Orient family and others about the activities being utilized in the treatment plan; Provide instruction to client, family, and others with a legitimate interest in how to implement the home program developed by the licensed Occupational Therapist; and Observe medical and safety precautions.
	114-012.07	<p>Assist in determining the need to terminate Occupational Therapy services when the client has achieved the treatment plan goals and/or has achieved maximum benefit from the services. The following steps should be taken:</p> <ol style="list-style-type: none"> Discuss need for treatment plan discontinuation with licensed Occupational Therapist; Assist in preparing occupational therapy discharge plan by recommending adaptations to client's everyday environment; Assist in identifying community resources; and Assist in summarizing and documenting outcome of the Occupational Therapy treatment plan.
	114-012.08	<p>Participate in planning, organizing, and delivery of Occupational Therapy services by:</p> <ol style="list-style-type: none"> Planning daily schedule according to assigned workload; Preparing and maintaining work setting, equipment, and supplies; Ordering supplies and equipment according to established procedures; Maintaining records according to Department procedure; Ensuring safety and maintenance of program areas and equipment; and Assisting with compiling and analyzing data of total Occupational Therapy service.
	114-013	<p>Requirements For Consulting With Or Supervising An Occupational Therapy Assistant: An Occupational Therapy Assistant may assist in the practice of Occupational Therapy under the supervision of or in consultation with an Occupational Therapist.</p>
	114-003.01	<p>If an Occupational Therapist is supervising or consulting with an Occupational Therapy Assistant, s/he must meet the following standards:</p> <ol style="list-style-type: none"> Evaluate each patient prior to treatment by the Occupational Therapy Assistant;

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	114-013.02	<p>2. Develop a treatment plan outlining which elements have been delegated to the Occupational Therapy Assistant;</p> <p>3. Monitor patient's progress;</p> <p>4. Approve any change in the Occupational Therapy treatment plan;</p> <p>5. Ensure that the Occupational Therapy Assistant is assigned only to duties and responsibilities for which s/he has been specifically trained and is qualified to perform;</p> <p>6. Review all documentation written by the Occupational Therapy Assistant;</p> <p>7. Interpret the results of tests which are administered by the Occupational Therapy Assistant; and</p> <p>8. Evaluate the treatment plan and determine termination of treatment.</p> <p>An Occupational Therapist supervising an Occupational Therapy Assistant must, in addition to the standards outlined in 172 NAC 114-003.01, provide the following:</p> <p>1. A minimum of four hours per month of on-site supervision if an Occupational Therapy Assistant has more than one year satisfactory work experience as an Occupational Therapy Assistant; or</p> <p>2. A minimum of eight hours per month of on-site supervision if an Occupational Therapy Assistant has less than one year satisfactory work experience as an Occupational Therapy Assistant.</p>
Nevada	<p>Statute: NRS 640A.060</p> <p>Regulation: NAC 640A.250</p> <p>640A.260</p>	<p>“Occupational therapy assistant” means a person who is licensed pursuant to this chapter to practice occupational therapy under the general supervision of an occupational therapist.</p> <p>Practice by occupational therapy assistant.</p> <p>1. An occupational therapy assistant shall not practice occupational therapy without the general supervision of an occupational therapist. Immediate physical presence or constant presence on the premises where the occupational therapy assistant is practicing is not required of the supervising occupational therapist. To provide satisfactory general supervision, the occupational therapist shall:</p> <p>(a) Give written approval for any initial plan of treatment or program of intervention if the direct care of the patient is being provided principally by the occupational therapy assistant.</p> <p>(b) Give written approval for any subsequent changes to a plan of treatment or program of intervention if the direct care of the patient is being provided principally by the occupational therapy assistant.</p> <p>(c) Provide a minimum of 4 hours per month of direct:</p> <p>(1) Clinical observation and supervision; and</p> <p>(2) Communication between the occupational therapy assistant and the supervising occupational therapist. The mode and frequency of that communication must be dependent upon the setting for the occupational therapy assistant’s practice, the caseload of the occupational therapy assistant and the competency of the occupational therapy assistant as determined by the supervising occupational therapist.</p> <p>(d) Maintain written records of the direct supervision required by paragraph (c).</p> <p>2. The supervising occupational therapist and the occupational therapy assistant shall jointly ensure that each record regarding a patient treated by the occupational therapy assistant is signed, dated and reviewed by both the occupational therapy assistant and the supervising occupational therapist. In reviewing the record, the occupational therapist and the occupational therapy assistant shall verify, without limitation:</p> <p>(a) The accuracy of the record; and</p> <p>(b) That there is continuity in the services received by the patient pursuant to the plan of treatment or program of intervention.</p> <p>3. A supervising occupational therapist shall not delegate responsibilities to an occupational therapy assistant which are beyond the scope of his training.</p> <p>4. The provisions of this section do not prohibit an occupational therapy assistant from responding to acute changes in a patient’s condition that warrant immediate assistance or treatment.</p> <p>Supervision and employment of occupational therapy assistant: Verification; notice of termination.</p> <p>1. An occupational therapy assistant shall submit verification of his employment and supervision by a licensed occupational therapist to the board within 30 days after a change in his employment or supervision. The verification must be submitted on a form approved by the board.</p> <p>2. An occupational therapist who is licensed by the board shall notify the board within 30 days after the termination of his supervision of an occupational therapy assistant.</p>

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	640A.265	<p>Delegation of duties to occupational therapy assistants and unlicensed persons; limitations.</p> <ol style="list-style-type: none"> 1. An occupational therapist shall supervise any program of treatment which is delegated to an occupational therapy assistant. 2. Only an occupational therapist may: <ol style="list-style-type: none"> (a) Interpret the record of a patient who is referred to the occupational therapist by a provider of health care; (b) Interpret the evaluation of a patient and identify any problem of the patient; (c) Develop a plan of care for a patient based upon the initial evaluation of the patient, which includes the goal of the treatment of the patient; (d) Determine the appropriate portion of the program of treatment and evaluation to be delegated to an occupational therapy assistant; (e) Delegate the treatment to be administered by the occupational therapy assistant; (f) Instruct the occupational therapy assistant regarding: <ol style="list-style-type: none"> (1) The specific program of treatment of a patient; (2) Any precaution to be taken to protect a patient; (3) Any special problem of a patient; (4) Any procedure which should not be administered to a patient; and (5) Any other information required to treat a patient; (g) Review the program of treatment of a patient in a timely manner; (h) Record the goal of treatment of a patient; (i) Revise the plan of care when indicated; (j) Supervise the dissemination of any written or oral reports; and (k) Supervise the final evaluation and discharge summary and determine when treatment should be terminated unless treatment is terminated by a patient or a referring provider of health care. 3. A licensee shall not knowingly delegate to a person who is less qualified than the licensee any program of treatment which requires the skill, common knowledge and judgment of the licensee. 4. An occupational therapist shall not delegate the following duties to an occupational therapy assistant or to a person who is not licensed by the board: <ol style="list-style-type: none"> (a) The interpretation of a test or measurement made on a patient; or (b) The planning of an initial program of treatment and any subsequent program of treatment based on the results of a test performed on a patient.
New Hampshire	<p>Statute: RSA § 326-C:1</p> <p>Regulation: Med 701.05</p> <p>Med 703.01</p> <p>Med 703.03</p>	<p>"Occupational therapy assistant" means a person currently licensed to assist in the practice of occupational therapy, under the supervision of an occupational therapist, in the state of New Hampshire.</p> <p>"Occupational therapy assistant" (COTA) means a person licensed to assist in the practice of occupational therapy as defined in RSA 326-C:1 IV.</p> <p>Supervision of COTA.</p> <ol style="list-style-type: none"> (a) Upon accepting responsibility for supervising a COTA, the OTR shall provide formal and informal supervision of the activities of the COTA. (b) The supervising OTR shall approve the initial occupational therapy program and any subsequent changes in the program. (c) The supervising OTR shall not be required to be physically present while the COTA is performing services within the program, but shall be available to review and approve follow-up treatment and treatment changes. (d) The supervising OTR shall provide formal supervision to the COTA for a minimum of 10% of the COTAs work time. Formal supervision shall include, but not be limited to, direct observation of the COTAs performance on a regular basis. <p>Acceptance of Supervisory Responsibility.</p> <ol style="list-style-type: none"> (a) Any COTA or temporary licensee who practices occupational therapy shall report the name and address of his or her supervisor to the board prior to beginning to practice. (b) Any change in supervisor shall be reported to the board within 30 days of such change. (c) The OTR who is accepting responsibility for supervision shall notify the board in writing of their willingness to accept that responsibility.

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New Jersey	<p>Statute: Title 45 45:9-37.53</p> <p>Regulation:</p>	<p>"Occupational therapy assistant" means a person licensed pursuant to the provisions of this act to assist in the practice of occupational therapy under the supervision of or in collaboration with an occupational therapist on a regularly scheduled basis for the purpose of the planning, review or evaluation of occupational therapy services.</p> <p>"Supervision" means the responsible and direct involvement of a licensed occupational therapist with an occupational therapy assistant for the development of an occupational therapy treatment plan and the periodic review of the implementation of that plan. The form and extent of the supervision shall be determined by the council.</p> <p>No relevant Regulations</p>
New Mexico	<p>Statute: NM 2000, Chapter 61- 12A-1</p> <p>61-12A-5</p> <p>Regulations: NMAC 16.15.3.7</p> <p>16.15.3.8</p>	<p>C. "certified occupational therapy assistant" means a person having no less than an associate degree in occupational therapy who is certified by the American occupational therapy certification board and licensed in New Mexico to assist a registered occupational therapist in occupational therapy under the supervision of the registered occupational therapist;</p> <p>Supervision; required; defined. No occupational therapy shall be performed by any certified occupational therapy assistant, occupational therapy aide or technician, or by any person practicing on a provisional permit, unless such therapy is supervised by a registered occupational therapist. The board shall adopt regulations defining supervision, which definitions may include various categories such as "close supervision", "routine supervision" and "general supervision".</p> <p>Definitions:</p> <p>A. "Supervision" means the typical oversight required for individuals at the various levels of role performance. Supervision is a shared responsibility. The supervising occupational therapist (OT) has a responsibility to provide supervision to occupational therapy assistants (OTAs), persons practicing on a provisional permit, and occupational therapy aides/technicians. The supervisee has a responsibility to obtain supervision.</p> <p>B. An "entry-level occupational therapy assistant" (OTA) means a new graduate that has not passed the National Board for Certification in Occupational Therapy Exam.</p> <p>C. "Entry-level Occupational Therapy Assistant" (OTA) means a new graduate with less than 960 hours of experience who has passed the National Board for Certification in Occupational Therapy (NBCOT) examination, or is new to an area of practice or new to a facility. 960 hours begins on the date of employment with full (non-provisional) licensure. An occupational therapy assistant (OTA) shall also be considered entry-level when moving to a new area of practice. In this case, the occupational therapy assistant (OTA) shall move to intermediate-level status after completing the facility's probationary period. An entry-level occupational therapy assistant (OTA) must demonstrate competency by meeting work performance evaluation criteria in a satisfactory manner.</p> <p>D. "Intermediate-level Occupational Therapy Assistant (OTA)" means an occupational therapy assistant (OTA) that has advanced to this level with up to three (3) years of experience, or a more experienced occupational therapy assistant (OTA) who has recently passed the probationary period in a new area of practice. An intermediate-level occupational therapy assistant (OTA) must demonstrate competency by meeting work performance evaluation criteria in a satisfactory manner.</p> <p>E. "Advanced-level Occupational Therapy Assistant (OTA)" means an occupational therapy assistant (OTA) with a minimum of three years experience in a particular area of practice. An advanced-level occupational therapy assistant (OTA) must demonstrate competency by meeting work performance evaluation criteria in a satisfactory manner.</p> <p>F. "Twenty percent (20%) face-to-face clinical observation" means a minimum of every fifth (5th) contact or 1 out of every 5 shall be direct observation of treatment.</p> <p>G. "Supervision contact" means any form of supervision that is of sufficient length of time to ethically provide guidance.</p> <p>Supervision: A. Occupational therapy assistants (OTA) and persons practicing on a provisional permit shall file with the Board a signed current statement of supervision by the occupational therapist or occupational therapists (OT or OTs) who will be responsible for the supervision of the occupational therapy assistant or person practicing on a limited permit. The statement of supervision must be filed</p>

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	16.15.3.9	<p>with the Board within ten (10) work days of employment and a new statement of supervision must be filed with the Board within ten (10) work days of any change in employment or supervisor.</p> <p>B. Supervision of persons pending certification as an occupational therapy assistant (OTA) shall consist of specific documentation as detailed in the “Supervision Log”. The original of the “Supervision Log” should be kept by the supervisee with a copy kept by the employer and supervisor. A copy of the “Supervision Log” must be submitted to the Board prior to issuance of full licensure.</p> <p>C. Supervision is an interactive process, more than a paper review or a co-signature, and requires direct in-person contact.</p> <p>D. Supervision by the occupational therapist (OT) is related to the ability of the occupational therapy assistant (OTA) to safely and effectively provide those interventions delegated by an occupational therapist (OT).</p> <p>E. An intermediate-level or advanced-level occupational therapy assistant (OTA) may supervise an entry-level occupational therapy assistant (OTA) when his/her job competencies have been assured by the supervising occupational therapist (OT).</p> <p>F. The occupational therapist (OT) has ultimate overall responsibility for service performance by the occupational therapy assistant (OTA), and for the health and safety of each client in the provision of occupational therapy services.</p> <p>G. Supervision of the occupational therapy assistant (OTA) shall consist of specific documentation as detailed in the “Supervision Log”, Subsection D of 16.15.3.10 NMAC. The original of the “Supervision Log” should be kept by the supervisee with a copy kept by the employer and supervisor. A copy of the “Supervision Log” must be submitted to the Board with each renewal application. For periods of unemployment, a written statement of the time period of unemployment should be attached to the “Supervision Log” and submitted with the renewal form.</p> <p>H. The Board or its designee has the authority to request a copy of the “Supervision Log” at any time, without prior notice to the supervising therapist or supervisee.</p> <p>I. The occupational therapist (OT) and the occupational therapy assistant (OTA) shall provide direct supervision to all occupational therapy aides/technicians.</p> <p>J. Persons practicing on a provisional permit pending certification as an occupational therapy assistant (OTA) or an occupational therapist (OT) are not eligible to supervise. [06-14-97; 02-14-98; 16.15.3.8 NMAC - Rn & A, 16 NMAC 15.3.8, 06-29-00; A, 04-03-03]</p> <p>Four Levels Of Supervision For Ota’s Are Identified:</p> <p>A. “Direct Supervision” means a minimum of daily direct contact at the site of work with the licensed supervisor physically present within the facility when the supervisee renders care and requires the supervisor to co-sign all documentation that is completed by the supervisee. In a work setting involving multiple sites of work and/or offices, supervision shall occur at one or more of the sites or offices, but not necessarily all sites or offices The occupational therapist (OT) or an intermediate-level or advanced-level occupational therapy assistant (OTA) shall provide direct supervision for persons practicing on a provisional permit pending certification as an occupational therapy assistant. The occupational therapist (OT) and the occupational therapy assistant (OTA) shall provide direct supervision to all occupational therapy aides/technicians.</p> <p>B. “Close Supervision” means a minimum of daily communication by means of direct contact, telephone, fax, or e-mail. In a single work setting or when involving multiple sites, supervision shall occur at one or more of the sites or offices, but not necessarily at all sites or offices. At a minimum, twenty percent (20%) of close supervision contacts shall be face-to-face clinical observation. Required for entry-level occupational therapy assistants (OTA);</p> <p>C. “Routine Supervision” means a minimum of direct contact at least every two (2) weeks at the site of work, with interim supervision occurring by other methods such as telephone, fax or e-mail. At a minimum, twenty percent (20%) of routine contacts shall be face-to-face clinical observation. Required for intermediate-level occupational therapy assistants (OTA).</p> <p>D. “General Supervision” means a minimum of monthly direct contact, with supervision available as needed by other methods such as telephone, fax or e-mail. At a minimum, twenty percent (20%) of general contacts shall be face-to-face clinical observation. Required for advanced-level occupational therapy assistants (OTA).</p>
	16.15.3.11	<p>Task Delegation:</p> <p>A. As pertains to the occupational therapy assistant (OTA): the occupational therapist (OT) shall evaluate each patient/client before direct care tasks are assigned to the occupational therapy assistant</p>

State	Citation	Legislative / Regulatory information
		<p>(OTA). The occupational therapist (OT) shall determine and assign only those tasks that can be safely and effectively done by an occupational therapy assistant (OTA). Direct care tasks may include, but are not limited to:</p> <ol style="list-style-type: none"> (1) completing data collection procedures such as record review, interviews, general observations, and behavioral checklists; (2) administering standardized and criterion-referenced tests after service competency has been established; (3) reporting changes in status that might warrant reassessment or referral; (4) contributing to treatment plan as developed by the occupational therapist (OT); (5) providing direct intervention by engaging patient/client in activities related to occupational performance areas; (6) adjusting and modifying treatment plans subject to final approval by an occupational therapist (OT); and (7) reporting the factors that warrant discontinuation of intervention orally and in writing. <p>B. The occupational therapist (OT) must sign the evaluation, the original treatment plan, any change of the treatment plan, and discharge of services.</p> <p>C. Duties and functions which the occupational therapy assistant (OTA) shall not perform include, but are not limited to:</p> <ol style="list-style-type: none"> (1) interpreting referrals or prescriptions for occupational therapy service; (2) interpreting and analyzing evaluation data; (3) developing or planning treatment plans independently; and (4) acting independently without supervision of an occupational therapist (OT); <p>D. In extenuating circumstances, when the occupational therapy assistant (OTA) is without supervision, the occupational therapy assistant (OTA) may continue carrying out established programs for no longer than thirty (30) calendar days under agency supervision while appropriate occupational therapy supervision is sought. It is the responsibility of the Board to interpret what establishes "extenuating circumstances".</p> <ol style="list-style-type: none"> (1) The agency and the supervisee must notify the Board office, in writing, of the name of the agency supervisor within twenty-four (24) hours of approval for extenuating circumstances. This notification may be by means of fax or e-mail. (2) The agency supervisor must sign the Supervision Log for each day of supervision. <p>E. As pertains to the occupational therapy aide/technician: the occupational therapist (OT) shall evaluate each patient/client before direct care tasks are assigned to the occupational therapy aide/technician. Only the occupational therapist (OT) shall determine, assign and modify those tasks that can be safely and effectively performed by the occupational therapy aide/technician.</p> <ol style="list-style-type: none"> (1) The occupational therapist (OT) or occupational therapy assistant (OTA) shall not document services rendered by an aide or technician as occupational therapy services provided by a licensed practitioner. (2) The occupational therapist (OT) or occupational therapy assistant (OTA) shall supervise those delegated, established routine activities which are performed by the occupational therapy aide/technician. (3) The occupational therapist (OT) and the occupational therapy assistant (OTA) shall not assign or permit occupational therapy aides/technicians to: <ol style="list-style-type: none"> (a) interpret referrals or prescriptions for occupational therapy services; (b) interpret or analyze evaluation data; (c) develop, plan, adjust, or modify treatment plans; (d) act on behalf of the occupational therapist (OT) or the occupational therapy assistant (OTA) in any matter related to direct patient/client care which requires judgment or decision making; (e) act independently without the supervision of an occupational therapist (OT) or an occupational therapy assistant (OTA); (f) document services provided by an aide or technician as occupational therapy provided by a licensed practitioner; and (g) represent themselves as an occupational therapist (OT) or occupational therapy assistant (OTA).
New York	<p>Statute:</p> <p>Regulation: Part 76, 76.6</p>	<p>No relevant Statutes</p> <p>Supervision of occupational therapy assistant. The direct supervision required by section 7906(6) of the education law shall include meeting with and observing the occupational therapy assistant on a regular basis to review the implementation of</p>

State	Citation	Legislative / Regulatory information
		treatment plans and to foster professional development.
North Carolina	<p>Statute: 90-270.67</p> <p>Regulation: "Guide for Supervision of Occupational Therapy Personnel"</p>	<p>3. "Occupational therapist assistant" means an individual licensed in good standing to assist in the practice of occupational therapy under this Article, who performs activities commensurate with his education and training under the supervision of a licensed occupational therapist.</p> <p>The North Carolina Board of Occupational Therapy has adopted as policy the ""Guide for Supervision of Occupational Therapy Personnel," as approved by the AOTA Representative, July 1994.</p> <p>Requirements Of The Licensed Supervising Occupational Therapist An OT responsible for the supervision of an OTA must notify the Board office in writing within 10 days of any permanent change in that supervisory status. Should you cease supervising an OTA, you will be held responsible for that supervision until official notice is received at the Board office. Failure to notify the Board can subject the OT to disciplinary action. Notice must be in writing with the OT's signature. Telephone notices will not be accepted.</p> <p>Requirements Of Licensed Occupational Therapist Assistants OTAs are required to notify the Board office within 10 days of any permanent change in supervision. Should the OT cease supervision and another OT become your supervisor, the OTA and the supervising OT must both notify the Board office in writing of the changes. Failure to notify the Board can subject both the OT and OTA to disciplinary action. Notice must be in writing with signature. Telephone notices will not be accepted.</p> <p>Supervision is an interactive process. The OTR/L and the OTA/L share responsibility for the supervision of the OTA/L who is providing occupational therapy services. The supervising OTR/L has a legal and ethical responsibility to provide supervision; the OTA/L has a legal and ethical responsibility to obtain supervision. OTA/Ls at all levels require supervision by an OTR/L. This supervision will vary based on the OTA/L's ability to safely and effectively provide intervention delegated by an OTR/L, the employment settings, characteristics of the population being served, the demands of service (i.e., facility standards, state laws and regulations, diagnoses served, techniques used), and primarily the service competency of the OTA/L.</p> <p>Supervision is an interactive process. Supervision is a cooperative process in which two or more people participate in a joint effort to establish, maintain, and/or evaluate a level of competency and performance. The supervisor possesses skills, competence, experience, education, credentials, or authority in excess of those possessed by the supervisee. The OT/L and the OTA/L share responsibility for the supervision of the OTA/L who is providing occupational therapy services. The supervising OT/L has a legal and ethical responsibility to provide supervision; the OTA/L has a legal and ethical responsibility to obtain supervision. Evidence of supervision is recorded on a supervisory log and/or in the documentation.</p> <p>Service competency is the ability to use the identified intervention in a safe and effective manner. It implies that two people can perform the same or equivalent procedures and obtain the same results. This assurance is necessary whenever an OT/L delegates tasks to an OTA/L. Demonstration of service competency is an ongoing process.</p> <p>Types of supervision occur along a continuum that are close, routine and general. OTA/Ls at all levels require supervision by an OT/L. This supervision will vary based on the OTA/L*s ability to safely and effectively provide intervention delegated by an OT/L, the employment settings, characteristics of the population being served, the demands of service (i.e., facility standards, state laws and regulations, diagnoses served, techniques used), and primarily the service competency of the OTA/L. Typically, entry-level OTA/Ls (less than one year) and OTA/Ls new to a particular practice setting will require close supervision; intermediate-level OTA/Ls (up to three years) routine supervision; and advanced level OTA/Ls (three or more years) general supervision. These typical levels of supervision suggested must be modified based on the critical level of the patient.</p> <ul style="list-style-type: none"> • Close supervision requires daily, direct contact at the service delivery site (where treatment is

State	Citation	Legislative / Regulatory information
		<p>provided).</p> <ul style="list-style-type: none"> • Routine supervision requires direct contact at least every 2 weeks , with interim supervision occurring by other methods, such as telephone or written communication. • General supervision requires at least monthly direct contact, with supervision available as needed by other methods. <p>Documentation of supervision is the responsibility of both the OT/L and OTA/L. Records must identify the frequency and type of supervision provided. Documentation may include minutes of staff meetings, performance appraisals, case reviews, and logs indicating the OT/L*s site review of the OTA/L*s performance. The effectiveness of the supervision shall be regularly evaluated by both the OTA/L and OT/L.</p> <p>Supervision should reflect a review of all aspects of the OTA/L*s practice. In any situation, the OT/L is ultimately responsible for all delegated services. Co-signature on occupational therapy service documentation, even if mandated by law or regulation, does not accurately satisfy supervision guidelines. However, facilities and programs may require a co-signature for reimbursement purposes. Guidelines of external review and accrediting agencies are to be followed.</p> <p>The supervision of an OTA/L by an OT/L is an ongoing process that enhances the professional growth of both participants. Each is responsible for knowing and adhering to applicable policies, laws, and guidelines pertaining to OTA/L practice, and each contributes specific skills, resources, perspectives, and knowledge to ensure and enrich the provision of appropriate occupational therapy services.</p> <p>Administrative supervision can be done by someone other than an OT/L. Clinical supervision must be provided by an OT/L. If adequate clinical supervision is not available by the OT/L, the OTA/L may not provide occupational therapy services. The guidelines are not intended to address the supervision needs of OTA/Ls practicing in roles such as activity director or in other positions in which they are not providing occupational therapy service.</p>
North Dakota	<p>Statute: 43-40-01</p> <p>Regulation: § 55.5-02-03-01</p>	<p>Definitions</p> <p>3. "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy, under this chapter, who works under the supervision of an occupational therapist.</p> <p>Supervision</p> <p>The occupational therapist shall exercise appropriate supervision over persons who are authorized to practice only under the supervision of the licensed therapist. No occupational therapist may supervise more than three occupational therapy assistants at the same time providing that at least one of the occupational therapy assistants has five or more years of experience in occupational therapy.</p> <p>1. Supervision is a collaborative process that requires both the licensed occupational therapist and the licensed occupational therapy assistant to share responsibility. Supervision is providing direction in the performance of specific, delineated tasks and responsibilities that are delivered by a licensed occupational therapy assistant and includes the responsibility of reviewing the results of any occupational therapy procedure conducted by the supervisee. Appropriate supervision will include consideration given to factors such as level of skill, the establishment of service competency, experience and work-setting demands, as well as the complexity and stability of the client population to be treated. Supervisors who take a leave of absence or vacation must make arrangements to have their supervisory responsibilities filled by another qualified supervisor.</p> <p>2. The entry-level occupational therapy assistant who has practiced occupational therapy less than one thousand six hundred fifty hours shall receive onsite supervision from a licensed occupational therapist. Onsite supervision means daily, direct, face-to-face collaboration at least twenty-five percent of the workday and for the remaining seventy-five percent of the workday, the supervisor must be on the premises and readily available by methods such as telephone or electronic communication for face-to-face consultation.</p> <p>3. The occupational therapy assistant, with greater than one thousand six hundred fifty hours but less than five years of work experience in occupational therapy, shall receive monthly, direct, face-to-face collaboration at the worksite by a licensed occupational therapist at least five percent of the total occupational therapy work as a practicing occupational therapy assistant with interim supervision occurring by other methods such as telephone or electronic communication.</p> <p>4. The occupational therapy assistant with greater than five years of work experience in occupational</p>

State	Citation	Legislative / Regulatory information
		<p>therapy, shall receive monthly, direct, face-to-face collaboration at the worksite by a licensed occupational therapist a minimum of two and one-half percent of the total supervision occurring by other methods such as telephone or electronic communication.</p> <p>5. Licensed occupational therapy assistants, regardless of their years of experience, may require closer supervision by the licensed occupational therapist for interventions that are more complex or evaluative in nature and for areas in which service competencies have not been established.</p> <p>6. Minimal supervision of the occupational therapist and occupational therapy assistant limited permitholder shall include initial and periodic inspection of written evaluation, written intervention plans, patient note, and periodic evaluation of client interaction. Such reviews and evaluations must be conducted in person by a licensed occupational therapist. A minimum of six hours of supervision per week is required. An occupational therapy assistant limited permitholder must have onsite supervision by a licensed occupational therapist.</p> <p>7. Any documentation written by a limited permitholder for inclusion in the client's official record shall also be signed by the supervising licensed occupational therapist.</p> <p>8. The supervising occupational therapist shall determine that limited permitholder and occupational therapy assistants hold current permits or licenses to practice or assist in the practice of occupational therapy prior to allowing the limited permitholder and occupational therapy assistants to engage in or assist in the practice of occupational therapy.</p>
Ohio	<p>Statute: 4755.01</p> <p>Regulation: 4755-7-01</p>	<p>Definitions (C) "Occupational therapy assistant" means a person licensed to apply the more standard occupational therapy techniques under the general supervision of an occupational therapist.</p> <p>Supervision. Supervision must ensure consumer protection.</p> <p>(A) The following provisions apply to supervision of limited permit holders and occupational therapy assistants:</p> <p>(1) A supervising occupational therapist shall adhere to the following criteria:</p> <p>(a) Be licensed, and in good standing, by the occupational therapy section of the Ohio occupational therapy, physical therapy and athletic trainers board; and</p> <p>(b) When maintaining a separate caseload, a 1.0 full-time equivalent (FTE) occupational therapist will supervise no more than four (4) FTE limited permit holders and/or occupational therapy assistants; and</p> <p>(c) When performing client evaluations and supervision only, with no client treatment responsibilities, a 1.0 FTE occupational therapist will supervise no more than six (6) FTE limited permit holders and/or occupational therapy assistants; and</p> <p>(d) A supervising occupational therapist with the status of less than 1.0 FTE shall supervise a proportionate number of occupational therapy assistants and limited permit holders.</p> <p>(2) The supervising occupational therapist shall confirm that the limited permit holder and/or occupational therapy assistant hold current permits or licenses to practice occupational therapy, as provided in section 4755.07 of the Revised Code, prior to allowing the limited permit holder and/or occupational therapy assistant to engage in the practice of occupational therapy.</p> <p>(3) Any documentation written by an occupational therapy assistant or a limited permit holder for inclusion in the client's official record shall be co-signed by the supervising occupational therapist.</p> <p>(B) Supervision of the limited permit holder, as defined in section 4755.07 of the Revised Code, does not require the supervising occupational therapist to be on-site, but the supervisor must be available for consultation with the limited permit holder at all times. The supervisor and limited permit holder must meet in person a minimum of once per week.</p> <p>(1) The occupational therapist limited permit holder and the occupational therapy assistant limited permit holder must be supervised by an occupational therapist.</p> <p>(2) Supervision requires an interactive process between the supervising occupational therapist and the limited permit holder. The interactive process must include, but is not limited to, review of the following: client assessment, reassessment, treatment plan, intervention and the discontinuation of intervention, and/or treatment plan. Co-signing client documentation alone does not meet the minimum level of supervision.</p> <p>(3) The supervising occupational therapist shall consult with the limited permit holder before the limited permit holder's initiation of any client's treatment plan and/or modification of the treatment plan.</p> <p>(4) It is the responsibility of the occupational therapy practitioner to establish evidence that the</p>

State	Citation	Legislative / Regulatory information
	4755-7-03	<p>supervision as set forth in rule 4755-7-01 of the Administrative Code has occurred according to the established guidelines. This evidence may be included in the client records or exist as a separate document, such as a supervision log.</p> <p>(C)Supervision of the occupational therapy assistant, as defined in section 4755.01 (C) of the Revised Code, requires initial direction and periodic inspection of the service delivery and relevant in-service training. The supervising occupational therapist need not be on-site, but must be available for consultation with the occupational therapy assistant at all times.</p> <p>(1) The supervising occupational therapist must provide supervision, a minimum of once per week, to all occupational therapy assistants who are in their first year of practice.</p> <p>(2) The supervising occupational therapist must provide supervision, a minimum of once per month, to all occupational therapy assistants beyond their first year of practice.</p> <p>(3) Supervision requires an interactive process between the supervising occupational therapist and the occupational therapy assistant. The interactive process must include, but is not limited to, review of the following: client assessment, reassessment, treatment plan, intervention and the discontinuation of intervention, and/or treatment plan. Co-signing client documentation alone does not meet the minimum level of supervision.</p> <p>(4) It is the responsibility of the occupational therapy practitioner to establish evidence that the supervision as set forth in rule 4755-7-01 of the Administrative Code has occurred according to the established guidelines. This evidence may be included in the client records or exist as a separate document ,such as a supervision log.</p> <p>Treatments performed by occupational therapy assistants.</p> <p>The supervising occupational therapist shall determine the occupational therapy treatments that the occupational therapy assistant may perform. In making this determination, the supervising occupational therapist shall consider the following: the clinical complexity of the patient/client, competency of the occupational therapy assistant, the occupational assistant’s level of training in the treatment technique, and whether continual reassessment of the patient/client’s status is needed during treatment. This rule shall not preclude the occupational therapy assistant from responding to acute changes in the patient/client’s condition that warrant immediate action. The occupational therapist shall assume professional responsibility for the following activities, which shall not be wholly delegated, regardless of the setting in which the services are provided:</p> <p>(A) Interpretation of referrals or prescriptions for occupational therapy services.</p> <p>(B) Interpretation and analysis for evaluation purposes.</p> <p>(1) The occupational therapy assistant may contribute to the evaluation process by gathering data, administering standardized tests and reporting observations. The occupational therapy assistant may not evaluate independently or initiate treatment before the supervising occupational therapist has performed an evaluation/assessment.</p> <p>(C) Development, interpretation, implementation and modifications of the treatment plan and the discharge plan.</p> <p>(1) The supervising occupational therapist shall be responsible for the development, Interpretation, implementation and modification of the treatment plan and delegating the appropriate interventions to the occupational therapy assistant.</p> <p>(2) The occupational therapy assistant may contribute to the preparation, implementation and documentation of the treatment and discharge summary.</p> <p>A supervising occupational therapist shall adhere to which of the following criteria when supervising? (OAC 4755-7-01)</p> <p>a. They may supervise ten occupational therapy assistants and/or limited permit holders</p> <p>b. They may supervise no more than four full-time equivalent occupational therapy assistants and/or limited permit holders when maintaining a separate caseload</p> <p>c. They may supervise six occupational therapy assistants and/or limited permit holders when maintaining a separate caseload</p> <p>d. They may supervise eight occupational therapy assistants and/or limited permit holders</p>
Oklahoma	Statute: Title 59 O.S. § 888.3	3. "Occupational therapy assistant" means a person licensed to provide occupational therapy treatment under the general supervision of a licensed occupational therapist;

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	<p>Regulation: 435.30-1-2</p> <p>435.30-1-15</p> <p>435:30-1-16</p> <p>435:30-1-17</p>	<p>"Direct supervision" means personal supervision and specific delineation of tasks and responsibilities by an Oklahoma licensed occupational therapist and shall include the responsibility for personally reviewing and interpreting the results of any habilitative or rehabilitative procedures conducted by the supervisee. It is the responsibility of the Oklahoma licensed occupational therapist to be onsite during treatment to ensure that the supervisee does not perform duties for which he is not trained.</p> <p>"General supervision" means responsible supervision and control, with the Oklahoma licensed occupational therapist providing both initial direction in developing a plan of treatment and periodic inspection of the actual implementation of the plan. Such plan of treatment shall not be altered by the supervised individual without prior consultation with and approval of the supervising occupational therapist. The supervising occupational therapist need not always be physically present or on the premises when the assistant is performing services; however, except in cases of emergency, supervision shall require the availability of the supervising occupational therapist for consultation with and direction of the supervised individual.</p> <p>Supervision of students, new graduates, techs and aides The Occupational Therapist is responsible and accountable for the overall use and actions of unlicensed personnel under his/her supervision and control. (1) Students. Supervision of the student must occur by one of the following methods: (A) Direct, on-site supervision will be provided by the Oklahoma licensed Occupational Therapist for the Occupational Therapy student in models of healthcare or educational systems. Supervision of the Occupational Therapy Assistant student may be provided by an Oklahoma licensed Occupational Therapy Assistant working under supervision of an Oklahoma licensed Occupational Therapist. (B) In emerging occupational therapy models, areas of innovative community-based and social systems-based occupational therapy practice where there is no occupational therapy practitioner on site, the occupational therapy practitioner must provide a minimum of six hours of weekly supervision. Supervision must include role modeling for the student, direct observation of client interaction, meeting with the student, review of student paperwork, and availability for communication and consultation. The supervisor must be readily available at all other times. It is understood that supervision begins with more direct supervision and gradually decreases to a minimum of six hours weekly as the student demonstrates competence. The supervisor must be cognizant of the individual student's needs and must use judgment in determining when an individual student may need more of the supervisor's time. (2) New graduates. Direct on-site supervision will be provided by the Occupational Therapist for new Occupational Therapist and Occupational Therapist Assistant graduates practicing under a letter authorizing practice temporarily. (3) Techs and aides. Direct on-site supervision will be provided by the Occupational Therapist or Occupational Therapy Assistant for aides/technicians providing patient care. Occupational Therapists and Occupational Therapy Assistants will delegate only those tasks that are of a routine nature and do not require interpretation or professional judgment. The occupational therapy practitioner must ensure the aide/technician has demonstrated competency in the delegated tasks.</p> <p>Responsible supervision (a) An occupational therapist will not sign the Form #5, Verification of Supervision, to be the direct clinical supervisor for more than a total of four occupational therapy assistants or applicants for licensure regardless of the type of professional licensure or level of training. (b) It shall be the responsibility of the occupational therapist to monitor the number of persons under his/her direct clinical supervision. It shall be the responsibility of the occupational therapy assistant to inquire of the occupational therapist in regards to the number of persons being directly supervised. (c) In unique cases, an occupational therapist may petition the Committee to receive permission to supervise additional occupational therapy assistants or applicants. (d) If responsible supervision is not practiced, both the occupational therapist and occupational therapy assistant are in violation of this rule.</p> <p>Role of Occupational Therapy Assistants in evaluations. An Occupational therapy assistant's participation in evaluations is not independent. The occupational therapy assistant works in collaboration with and under the supervision of an occupational therapist.</p>

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		<p>It is the occupational therapists responsibility to give appropriate supervision and the occupational therapy assistant's responsibility to seek appropriate supervision. The occupational therapy assistant may have a role in the evaluation process and in the administration of assessment tools and instruments under the supervision of an occupational therapist after competency has been established. It is the occupational therapist who initiates the evaluation process and delegates the appropriate assessment to be carried out by the occupational therapy assistant. The occupational therapy assistant may administer and score these assessments. The occupational therapist interprets the results with input from the occupational therapy assistant to establish a treatment of plan.</p>
Oregon	<p>Statute: 675.210</p> <p>Regulation: 339-010-0005</p> <p>339-010-0035</p>	<p>(3) "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under the supervision of an occupational therapist.</p> <p>Definitions</p> <p>(1) "Supervision", is a process in which two or more people participate in a joint effort to promote, establish, maintain and/or evaluate a level of performance. The occupational therapist is responsible for the program outcomes and documentation to accomplish the goals and objectives. Levels of supervision:</p> <p>(a) "Close supervision" requires daily, direct contact in person at the work site;</p> <p>(b) "Routine supervision" requires the supervisor to have direct contact in person at least every two weeks at the work site with interim supervision occurring by other methods, such as telephone or written communication.</p> <p>(c) "General supervision" requires the supervisor to have least monthly direct contact in person with the supervisee at the work site with supervision available as needed by other methods.</p> <p>(3) "Licensed occupational therapy practitioner", for purposes of these rules, means an individual who holds a current occupational therapist or occupational therapy assistant license.</p> <p>Statement of Supervision for Occupational Therapy Assistant</p> <p>(1) Any person who is licensed as an occupational therapy assistant may assist in the practice of occupational therapy only under the supervision of or with the consultation of a licensed occupational therapist.</p> <p>(2) Before an occupational therapy assistant assists in the practice of occupational therapy, he/she must file with the Board a signed, current statement of supervision of the licensed occupational therapist who will either supervise or consult with the occupational therapy assistant. The signature of the supervising occupational therapist must be notarized.</p> <p>(3) An occupational therapy assistant always requires at least general supervision.</p> <p>(4) The supervising occupational therapist shall provide closer supervision where professionally appropriate.</p> <p>(5)The supervisor, in collaboration with the supervisee, is responsible for setting and evaluating the standard of work performed.</p>
Pennsylvania	<p>Statute: Act 140, § 3</p> <p>Regulation: Chapter 42, § 42.22</p>	<p>"Occupational therapy assistant." A person licensed to assist in the practice of occupational therapy, under the supervision of an occupational therapist.</p> <p>Supervision of occupational therapy assistants.</p> <p>(a) Section 3 of the act (63 P. S. § 1503) provides that licensed occupational therapy assistants may assist in the practice of occupational therapy only under the supervision of an occupational therapist. "Under the supervision of an occupational therapist" means that an occupational therapist currently licensed by the Board:</p> <p>(1) Evaluates the patient/client.</p> <p>(2) Prepares a written program plan.</p> <p>(3) Assigns treatment duties based on that program plan to an occupational therapy assistant currently licensed by the Board who has been specifically trained to carry out those duties.</p> <p>(4) Monitors the occupational therapy assistant's performance.</p> <p>(5) Accepts professional responsibility for the occupational therapy assistant's performance.</p> <p>(b) Supervision includes the following:</p> <p>(1) Communicating to the occupational therapy assistant the results of patient/client evaluation and discussing the goals and program plan for the patient/client.</p>

State	Citation	Legislative / Regulatory information
		<p>(2) Periodically reevaluating the patient/client and, if necessary, modifying the program plan.</p> <p>(3) Case management.</p> <p>(4) Determining program termination.</p> <p>(5) Providing information, instruction and assistance as needed.</p> <p>(6) Observing the occupational therapy assistant periodically.</p> <p>(7) Preparing on a regular basis, but at least annually, a written appraisal of the occupational therapy assistant's performance and discussing that appraisal with the assistant.</p> <p>(c) Notwithstanding subsections (a)(1) and (b)(2), the supervisor may assign to a competent occupational therapy assistant the administration of standardized tests, the performance of activities of daily living evaluations and other elements of patient/client evaluation and reevaluation that do not require the professional judgment and skill of an occupational therapist.</p> <p>(d) The supervisor shall have supervisory contact with the occupational therapy assistant at least 10% of the time worked by the assistant in direct patient care. "Supervisory contact" means face-to-face individual contact, telephone communication, contact through written reports or group conferences among a supervisor and two or more supervisees. Face-to-face individual contact shall occur onsite at least once a month and shall include observation of the assistant performing occupational therapy. The specific mode, frequency and duration of other types of supervisory contact depend on the treatment setting, the occupational therapy assistant's caseload, the condition of patients/clients being treated by the assistant and the experience and competence of the assistant as determined by the supervisor. The supervisor shall ensure, however, that supervisory contact within each calendar month includes a combination of face-to-face, telephone and written communication.</p> <p>(e) The supervisor shall maintain a supervisory plan and shall document the supervision of each occupational therapy assistant. Documentation shall include evidence of regular supervision and contact between the supervisor and the assistant.</p> <p>(f) A supervisor who is temporarily unable to provide supervision shall arrange for substitute supervision by an occupational therapist currently licensed by the Board. The substitute shall provide supervision that is as rigorous and thorough as that provided by the permanent supervisor.</p> <p>(g) Failure to comply with this section constitutes unprofessional conduct under section 16(a)(2) of the act (63 P. S. § 1516(a)(2)).</p>
Puerto Rico	<p>Statute: Chapter 51, § 1031.3</p> <p>Regulation: L. Núm 137, § 1.3 (translation)</p> <p>L.Núm 89, Article 1 (translation)</p>	<p>"Certified assistant in occupational therapy" is the person who under supervision of an occupational therapist performs proper selected tasks or activities of occupational therapy"</p> <p>The occupational therapy assistant is the person who assists or helps the occupational therapist in selected tasks or activities that require neither the ability, judgement nor extensive knowledge required of occupational therapists. The occupational therapy assistant's work is made under the immediate direction of the occupational therapist.</p> <p>Definitions. Occupational therapy assistant is the person who under the supervision of an occupational therapist carries out selected tasks or activities.</p>
Rhode Island	<p>Statute: Chapter 5- 40.1, § 5-40.1-3</p> <p>§ 5-40.1-21</p>	<p>(10) "Occupational therapy assistant" means a person licensed to practice occupational therapy under the provisions of the Act and the rules and regulations herein.</p> <p>(12) "Supervision" means that a licensed occupational therapist or occupational therapy assistant shall at all times be responsible for supportive personnel and students.</p> <p>Supervision.</p> <p>(a) A licensed occupational therapist exercises sound judgment and provides adequate care in the performance of duties. A licensed occupational therapist is permitted to supervise the following: occupational therapists, occupational therapy assistants, occupational therapy aides, care extenders, occupational therapy students, and volunteers.</p> <p>(b) A licensed occupational therapy assistant exercises sound judgment and provides adequate care in the performance of duties. A licensed occupational therapy assistant is permitted to supervise the following: occupational therapy aides, care extenders, students, and volunteers.</p> <p>(c) Subject to the requirements of this section, a licensed occupational therapy assistant may practice limited occupational therapy only under the supervision of a licensed occupational therapist.</p>

State	Citation	Legislative / Regulatory information
	<p data-bbox="261 1419 423 1507">Regulation: R5-40.1-OCC, § 1.8</p> <p data-bbox="261 1541 334 1570">§ 1.10</p> <p data-bbox="261 1629 342 1659">§ 5.5.2</p> <p data-bbox="261 1877 342 1906">§ 5.5.3</p>	<p data-bbox="453 170 1511 409">Supervision requires at a minimum that the supervising licensed occupational therapist meet in person with the licensed occupational therapy assistant to provide initial direction and periodic on-site supervision. The supervising licensed occupational therapist working with the licensed occupational therapy assistant determines the amount and type of supervision necessary in response to the experience and competence of the licensed occupational therapy assistant and the complexity of the treatment program. The supervisor and the licensed occupational therapy assistant are jointly responsible for maintaining records, including patient records, to document compliance with this regulation.</p> <p data-bbox="453 415 938 445">(d) A licensed occupational therapy assistant:</p> <p data-bbox="453 447 1458 501">(1) May not initiate a treatment program until the patient has been evaluated and the treatment planned by the licensed occupational therapist;</p> <p data-bbox="453 506 1495 594">(2) May not perform an evaluation, but may assist in the data gathering process and administer specific assessments where clinical competency has been demonstrated, under the direction of the licensed occupational therapist;</p> <p data-bbox="453 598 971 627">(3) May not analyze or interpret evaluation data;</p> <p data-bbox="453 632 1484 686">(4) May participate in the screening process by collecting data and communicate the information gathered to the licensed occupational therapist;</p> <p data-bbox="453 690 1520 745">(5) Monitors the need for reassessment and report changes in status that might warrant reassessment or referral under the supervision of the licensed occupational therapist; and</p> <p data-bbox="453 749 1471 804">(6) Immediately discontinues any treatment procedure which appears harmful to the patient and immediately notifies the supervising occupational therapist.</p> <p data-bbox="453 808 1520 926">(1) An occupational therapy aide is a worker trained on the job. A licensed occupational therapist or licensed occupational therapy assistant using occupational therapy aide personnel to assist with the provision of occupational therapy services must provide close supervision in order to protect the health and welfare of the consumer.</p> <p data-bbox="453 930 1536 1110">(2) The primary function of an occupational therapy aide functioning in an occupational therapy setting is to perform designated routine tasks related to the operation of an occupational therapy service. These tasks may include, but are not limited to, routine department maintenance, transporting patients/clients, preparing or setting up treatment equipment and work area, assisting patients/clients with their personal needs during treatment, assisting in the construction of adaptive equipment, and carrying out a predetermined segment or task in the patient's care.</p> <p data-bbox="453 1115 1471 1169">(f) The licensed occupational therapist or occupational therapy assistant shall not delegate to an occupational therapy aide:</p> <p data-bbox="453 1173 1133 1203">(1) Performance of occupational therapy evaluation procedures;</p> <p data-bbox="453 1207 1533 1295">(2) Initiation, planning, adjustment, modification, or performance of occupational therapy procedures requiring the skills or judgment of a licensed occupational therapist or licensed occupational therapy assistant;</p> <p data-bbox="453 1299 1419 1329">(3) Making occupational therapy entries directly in patients' or clients' official records; and</p> <p data-bbox="453 1333 1533 1388">(4) Acting on behalf of the occupational therapist in any matter related to occupational therapy which requires decision making or professional judgment.</p> <p data-bbox="453 1419 1414 1474">"Occupational therapy assistant" means a person licensed to practice occupational therapy under the provisions of the Act and the rules and regulations herein.</p> <p data-bbox="453 1541 1433 1596">"Supervision" means that a licensed occupational therapist or occupational therapy assistant shall at all times be responsible for supportive personnel and students.</p> <p data-bbox="453 1629 1455 1684">A licensed occupational therapy assistant shall exercise sound judgment and provide adequate care in the performance of duties.</p> <p data-bbox="453 1688 1341 1717">a) A licensed occupational therapy assistant is permitted to supervise the following:</p> <ul data-bbox="453 1722 764 1839" style="list-style-type: none"> <li data-bbox="453 1722 764 1751">_ occupational therapy aides; <li data-bbox="453 1755 634 1785">_ care extenders; <li data-bbox="453 1789 613 1818">_ students; and <li data-bbox="453 1822 591 1852">_ volunteers. <p data-bbox="453 1877 1490 1932">Subject to the requirements of this section, a licensed occupational therapy assistant may practice limited occupational therapy only under the supervision of a licensed occupational therapist.</p>

State	Citation	Legislative / Regulatory information
	<p>§ 5.5.4</p> <p>§ 5.5.5</p> <p>§ 5.5.6</p> <p>§ 5.5.7</p>	<p>Supervision requires, at a minimum, that the supervising licensed occupational therapist meet in person with the licensed occupational therapy assistant to provide initial direction and periodic on-site supervision.</p> <p>The supervising licensed occupational therapist working with the licensed occupational therapy assistant shall determine the amount and type of supervision necessary in response to experience and competence of the licensed occupational therapy assistant and complexity of the treatment program.</p> <p>The supervisor and the licensed occupational therapy assistant are jointly responsible for maintaining records, including patient records, to document compliance with these regulations.</p> <p>A licensed occupational therapy assistant:</p> <ul style="list-style-type: none"> a) may not initiate a treatment program until the patient has been evaluated and the treatment planned by the licensed occupational therapist; b) may not perform an evaluation, but may assist in the data-gathering process and administer specific assessments where clinical competence has been demonstrated, under the direction of the licensed occupational therapist; c) may not analyze or interpret evaluation data; d) may participate in the screening process by collecting data and shall communicate the information gathered to the licensed occupational therapist; e) shall monitor the need for reassessment and report changes in status that might warrant reassessment or referral under the supervision of the licensed occupational therapist; and f) shall immediately discontinue any treatment procedure which appears harmful to the patient and immediately notify the supervising occupational therapist.
South Carolina	<p>Statute: Chapter 36, § 40-36-20</p> <p>§ 40-36-300</p>	<p>(4) "Direct supervision" means personal, daily supervision, and specific delineation of tasks and responsibilities by an occupational therapist and includes the responsibility for personally reviewing and interpreting the results of a supervisee on a daily basis.</p> <p>(9) "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under the supervision of an occupational therapist.</p> <p>(10) "On-site" means the same premises while direct client treatment is being performed.</p> <p>(12) "Supervision" means personal and direct involvement of an occupational therapist in a supervisee's professional experience which includes evaluation of the supervisee's performance with respect to each client treated by the supervisee.</p> <p>Responsibilities and duties of occupational therapy assistants and aides; restrictions.</p> <p>(A) An occupational therapy assistant only shall assist in the practice of occupational therapy under the supervision of a licensed occupational therapist and shall:</p> <ul style="list-style-type: none"> (1) only accept those duties and responsibilities for which the assistant has been specifically trained and is qualified to perform; (2) consult with the supervising occupational therapist every seven treatments or thirty days, whichever is first, for each client; (3) inform the occupational therapist of any changes in a client that may require reevaluation or change in treatment; (4) contribute to a client evaluation by gathering data, administering structured tests, and reporting observations but may not evaluate a client independently or initiate treatment before a licensed occupational therapist's evaluation. <p>(B) An occupational therapy aide may perform duties associated with nontreatment aspects of occupational therapy including, but not limited to, transporting clients, preparing treatment areas, attending to the personal needs of clients during treatment sessions, and clerical or housekeeping activities under the direct on-site supervision of a licensed occupational therapist or licensed occupational therapy assistant. When performing these duties, the occupational therapy aide must be clearly identified by using "O.T./Aide" or another designation approved by the board;</p> <p>(C) An occupational therapy aide may not:</p> <ul style="list-style-type: none"> (1) perform an activity or task which requires licensure under this chapter; (2) perform an activity or task which requires the exercise of the professional judgment of an occupational therapist; or (3) develop or model client treatment plans or discharge plans. <p>(D) An occupational therapy student may perform duties or functions commensurate with the</p>

State	Citation	Legislative / Regulatory information
	Regulation:	<p>student's training and experience under the direct on-site supervision of a licensed occupational therapist.</p> <p>No relevant Regulations</p>
South Dakota	<p>Statute: Title 36, 36-31-1</p> <p>Regulation: 20:64:01:01</p> <p>20:64:03:02</p>	<p>(6) "Occupational therapy assistant," any person licensed to assist in the practice of occupational therapy, under the supervision of or with the consultation of a licensed occupational therapist and whose license is in good standing;</p> <p>(2) "Direct supervision," the physical presence of an occupational therapist or occupational therapy assistant in the immediate room when redemptive tasks are being performed by an occupational therapy aide;</p> <p>(3). "Supervision," the physical presence of an occupational therapist on the premises where a patient is being cared for by an occupational therapy assistant.</p> <p>Supervision of occupational therapy assistant. An occupational therapy assistant with less than one year of experience in the assistant's present area of practice must receive a minimum of 10 hours of supervision from an occupational therapist for each 40 work hours or 25 percent of the total scheduled work hours. An occupational therapy assistant with more than one year of experience in the assistant's present area of practice must receive a minimum of 4 hours of supervision from an occupational therapist for each 40 work hours or 10 percent of the total scheduled work hours. The supervising occupational therapist shall evaluate each patient with input from the occupational therapy assistant as appropriate, prepare a written treatment plan outlining the tasks and responsibilities that may be performed by the occupational therapy assistant, monitor patient progress and reevaluate the treatment plan, and determine the termination of treatment. The frequency and manner of supervision is determined by the supervising licensed occupational therapist based on the condition of the patient or client, the proficiencies of the occupational therapy assistant, and the complexity of the therapy method. If the supervision agreement is terminated, the occupational therapy assistant must notify the board in writing within 15 days of such termination. In addition, the supervising occupational therapist must also notify the board in writing within 15 days if the supervision agreement is terminated.</p>
Tennessee	<p>Statute: § 63-13-103</p> <p>§ 63-13-206</p> <p>Regulation: 1150-2-.01</p>	<p>(4) "Certified occupational therapy assistant" (COTA) means an individual who has passed the entry level licensure examination of NBCOT for an occupational therapy assistant or who was licensed as an occupational therapy assistant prior to June 1977, and who is licensed to practice occupational therapy pursuant to this chapter under the supervision of an occupational therapist</p> <p>(10) "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under the supervision of an occupational therapist;</p> <p>(12) "On-site supervision" means the supervising physical therapist or physical therapist assistant must:</p> <p>(a) Be continuously on-site and present in the department or facility where assertive personnel are performing services;</p> <p>(b) Be immediately available to assist the person being supervised in the services being performed; and</p> <p>(c) Maintain continued involvement in appropriate aspects of each treatment session in which a component of treatment is delegated to assertive personnel.</p> <p>(21) Licensed Occupational Therapy Assistant (OTA) - Any person who has met the qualifications for licensed occupational therapy assistant and holds a current, unsuspended or unrevoked, license which has been lawfully issued by the Committee. Such person assists and works under the supervision of a licensed occupational therapist.</p> <p>Supervision of a COTA and temporary licensees. (a) "Supervision of a "COTA" means initial directions and periodic inspection of the service delivery and provisions of relevant in-service training. The supervising licensed occupational therapist shall determine the frequency and nature of the supervision to be provided based on the client's or patients required level of care and the Coat's caseload, experience and competency.</p>

State	Citation	Legislative / Regulatory information
	1150-2-.10	<p>(8) Certified Occupational Therapy Assistant (OTA) - Any person who has met the qualifications for certified occupational therapy assistant and holds a current, unsuspended or unnerved, certificate Which has been lawfully issued by the committee. Such person assists and works under the supervision of a certified occupational therapist.</p> <p>(27) Supervision is defined as the following: (a) Close: Daily direct contact at the site of treatment. (b) Routine: Direct contact at least every two (2) weeks at the site of treatment, with interim supervision occurring by other methods such as telephone or written communication. (c) General: At least monthly direct contact with supervision available as needed by other methods. (d) Minimal: Provided only on a need basis, and may be less than monthly. (e) Continuous: Within sight of the individual being supervised.</p> <p>Supervision. The committee adopts, as if fully set out herein, and as it may from time to time be amended, the current "Guide for Supervision of Occupational Therapy Personnel in the Delivery of Occupational Therapy Services" issued by the American Occupational Therapy Association. Information to acquire a copy may be obtained by contacting either of the following:</p> <p>American Occupational Therapy Association 4720 Montgomery Lane Bethesda, MD 20824-1220 Telephone: (301) 652-2682 T.D.D.: (800) 377-8555 Fax: (301) 652-7711 Fax On Request: (800) 701-7735 (for a specific document) Internet: www.aota.org</p> <p>Tennessee Board of Occupational and Physical Therapy Examiners Committee of Occupational Therapy First Floor, Cordell Hull Building 425 Fifth Avenue North Nashville, TN 37247-1010 Telephone: (615) 532-3202 ext. 25135 Telephone: (888) 310-4650 ext. 25135 Fax: (615) 532-5164 Internet: www.state.tn.us/health</p> <p>(1) Supervision of an Occupational Therapist on a limited permit shall include initial and periodic inspection of written evaluations, written treatment plans, patient notes and periodic evaluation of performance. The supervision must be conducted in person by a licensed occupational therapist and shall be as follows: (a) Routine supervision with direct contact every 2 weeks at the site of treatment, with interim supervision occurring by other methods such as the telephone, conferences, written communication, and E -mail. (b) Supervision must include observation of the individual treatment under a limited permit in order to assure service competency in carrying out evaluation, treatment planning and treatment implementation. (c) The frequency of the face to face collaboration between the person treating under a limited permit and the supervising therapist should exceed direct contact every 2 weeks if the condition of the patient/client, complexity of treatment, evaluation procedures, and proficiencies of the person practicing under the limited permit warrants it. (d) Appropriate records must be maintained to document compliance. (e) A co-signature by supervising Occupational Therapist is required on evaluations, treatment plans, and discharge summaries.</p> <p>(2) Supervision of an Occupational Therapy Assistant on a limited permit means initial directions and periodic inspection of the service delivery and provisions of relevant in-service training. The supervising licensed occupational therapist shall determine the frequency and nature of the supervision to be provided based on the client's or patient's required level of care and the OTA's</p>

State	Citation	Legislative / Regulatory information
		<p>caseload, experience and competency. Supervision of an Occupational Therapy Assistant on a limited permit shall include initial and periodic inspection of patient notes and periodic evaluation of performance. The supervision must be conducted in person by a licensed occupational therapist and shall be as follows:</p> <p>(a) The Occupational Therapist shall be responsible for the evaluation of the patient and development of the patient/client treatment plan. The Occupational Therapy Assistant on a limited permit may contribute information from observations and standardized test procedures to the evaluation and the treatment plans.</p> <p>(b) The Occupational Therapy Assistant can implement and coordinate intervention plan under supervision of a licensed Occupational Therapist.</p> <p>(c) The Occupational Therapy Assistant can provide direct services that follow a documented routine and accepted procedure under the supervision of the licensed Occupational Therapist.</p> <p>(d) The Occupational Therapy Assistant can adapt activities, media, environment according to needs of patient/client under supervision of the licensed Occupational Therapist.</p> <p>(e) Documentation provided by the Occupational Therapy Assistant while on a limited permit must be co-signed by a licensed Occupational Therapist.</p> <p>(4) Supervision of an Occupational Therapy Assistant with permanent licensure means initial directions and periodic inspection of the service delivery and provisions of relevant in-service training. The supervising licensed occupational therapist shall determine the frequency and nature of the supervision to be provided based on the client's or patient's required level of care and the OTA's caseload, experience and competency. Supervision of an Occupational Therapy Assistant with permanent licensure shall be as follows:</p> <p>(a) The frequency of the face to face collaboration between the Occupational Therapy Assistant and the supervising Occupational Therapist should exceed direct contact of once a month if the condition of the patient/client, complexity of treatment, evaluation procedures, and proficiencies of the person practicing warrants it.</p> <p>(b) The Occupational Therapist shall be responsible for the evaluation of the patient and the development of the patient/client treatment plan. The Occupational Therapy Assistant may contribute information from observations and standardized test procedures to the evaluation and the treatment plans.</p> <p>(c) The Occupational Therapy Assistant can implement and coordinate intervention plan under the supervision of the licensed Occupational Therapist.</p> <p>(d) The Occupational Therapy Assistant can provide direct services that follow a documented routine and accepted procedure under the supervision of the Occupational Therapist.</p> <p>(e) The Occupational Therapy Assistant can adapt activities, media, environment according to the needs to the patient/client, under the supervision of the licensed Occupational Therapist.</p> <p>(f) Appropriate records must be maintained to document compliance.</p> <p>(4) Supervision of an Occupational Therapy Aide/Tech shall be as follows:</p> <p>(a) There shall be close supervision with daily, direct contact at site of treatment, which demands physical presence of a licensed Occupational Therapist or Occupational Therapy Assistant, whenever the Aide/Tech assists in the practice of Occupational Therapy.</p> <p>(b) There shall be personal instruction, observation and evaluation by the licensed Occupational Therapist or licensed Occupational Therapy Assistant.</p> <p>(c) There shall be specific delineation of tasks and responsibilities by the licensed Occupational Therapist or licensed Occupational Therapy Assistant who is responsible for reviewing and interpreting the results of care. The licensed Occupational Therapist or licensed Occupational Therapy Assistant must ensure that the Aide/Tech does not perform duties for which he is not trained.</p> <p>1. A licensed occupational therapy practitioner may delegate to unlicensed personnel, including but not limited to Aides/Techs, specific routine tasks associated with nontreatment aspects of occupational therapy services which are neither evaluative, assessive, task selective, or recommending in nature, nor which require decision-making or making occupational therapy entries in official patient records, if the following conditions are met:</p> <p>(i) The occupational therapy practitioner accepts professional responsibility for the performance of that duty by the personnel to whom it is delegated. In the case of duties delegated by a OTA, both the OTA and the OT who supervises the technician will be responsible; and</p> <p>(ii) The unlicensed personnel do not perform any duties which require licensure under this act; and</p> <p>(iii) The occupational therapy practitioner ensures that the unlicensed personnel have been appropriately trained for the performance of the tasks.</p> <p>2. Tasks which may be delegated may include:</p>

State	Citation	Legislative / Regulatory information
		<p>(i) Transporting of patients;</p> <p>(ii) Preparing or setting up a work area or equipment;</p> <p>(iii) Routine department maintenance or housekeeping activities;</p> <p>(iv) Taking care of patients' personal needs during treatments; and</p> <p>(v) Clerical, secretarial or administrative duties.</p> <p>(d) Appropriate records must be maintained to document compliance. The licensed Occupational Therapist or licensed Occupational Therapy Assistant must countersign all Aide/Tech documentation.</p> <p>(e) Intensity of supervision is determined by nature of task to be performed, the needs of the consumer, and the capability of the Aide/Tech.</p> <p>(5) Supervision parameters</p> <p>(a) Supervision is a collaborative process that requires both the licensed occupational therapist and the licensed occupational therapy assistant to share responsibility. Appropriate supervision will include consideration given to factors such as level of skill, the establishment of service competency (the ability to use the identified intervention in a safe and effective manner), experience and work setting demands, as well as the complexity and stability of the client population to be treated.</p> <p>(b) Supervision is an interactive process that requires both the licensed occupational therapist and the licensed occupational therapy assistant or other supervisee to share responsibility for communication between the supervisor and the supervisee. The licensed occupational therapist should provide the supervision and the supervisee should seek it. An outcome of appropriate supervision is to enhance and promote quality services and the professional development of the individuals involved.</p> <p>(c) Supervision of occupational therapy services provided by a licensed occupational therapy assistant is recommended as follows:</p> <ol style="list-style-type: none"> 1. Entry level occupational therapy assistants are persons working on initial skill development (less than 1 year of work experience) or who are entering new practice environments or developing new skills (one or more years. of experience) and should require close supervision. 2. Intermediate level occupational therapy assistants are persons working on increased skill development, mastery of basic role functions (minimum one - three years of experience or dependent on practice environment or previous experience) and should require routine supervision. 3. Advanced level occupational therapy assistants are persons refining specialized skills (more than 3 years work experience, or the ability to understand complex issues affecting role functions) and should require general supervision. 4. Licensed occupational therapy assistants, regardless of their years of experience, may require closer supervision by the licensed occupational therapist for interventions that are more complex or evaluative in nature and for areas in which service competencies have not been established. 5. Certain occupational therapy assistants may only require minimal supervision when performing non-clinical administrative responsibilities.
Texas	<p>Statute: 454.002</p> <p>Regulation: § 362.1</p> <p>§ 373.2</p>	<p>(6) "Occupational therapy assistant" means a person licensed by the board as an occupational therapy assistant who assists in the practice of occupational therapy under the general supervision of an occupational therapist.</p> <p>(6) Certified Occupational Therapy Assistant (COTA)--An alternate term for a Licensed Occupational Therapy Assistant. An individual who uses this term must hold a regular or provisional license to practice or represent self as an occupational therapy assistant in Texas and must practice under the general supervision of an OTR or LOT. An individual who uses this term is responsible for ensuring that he or she is otherwise qualified to use it.</p> <p>(27) Licensed Occupational Therapy Assistant (LOTA)--A person who holds a valid regular or provisional license to practice or represent self as an occupational therapy assistant in Texas and who is required to practice under the general supervision of an OTR or LOT.</p> <p>(36) Occupational Therapy Assistant (OTA)--A person who holds a Temporary License to practice as an occupational therapy assistant in the state of Texas, who is waiting to receive results of taking the first available Examination, and who is required to be under continuing supervision of an OTR or LOT.</p> <p>Supervision of a Temporary Licensee</p> <p>(a) Supervision of an occupational therapist with a temporary license includes:</p>

State	Citation	Legislative / Regulatory information
	§ 373.3	<p>(1) frequent communication between the supervising occupational therapist and the temporary licensee by telephone, written report or conference, including the review of progress of patients/clients assigned, plus</p> <p>(2) encounters twice a month where the OTR or LOT directly observes the temporary licensee providing services to one or more patients/clients with face-to-face, real time interaction.</p> <p>(b) Supervision of an occupational therapy assistant with a temporary license includes;</p> <p>(1) sixteen hours of supervision a month of which at least twelve hours are through telephone, written report or conference, including the review of progress of patients/clients assigned; plus</p> <p>(2) four or more hours of supervision a month which are face-to-face, real time supervision with the temporary licensee providing services to one or more patients/clients.</p> <p>(c) Temporary licensees may not supervise anyone.</p> <p>(d) All documentation completed by an individual holding a temporary license which becomes part of the patient's/client's permanent file, must be approved and co-signed by the supervising occupational therapist.</p> <p>(e) A temporary licensee works under the supervision of an regular licensed occupational therapist, whose name and license number are on file on the board's "Supervision of a Temporary Licensee" form.</p> <p>(f) A temporary licensee does not become a regular licensee with those privileges until the regular license is in hand.</p> <p>Supervision of a Licensed Occupational Therapy Assistant</p> <p>(a) Supervision per month of eight hours includes:</p> <p>(1) A minimum of six hours a month of frequent communication between the supervising OTR(s) or LOT(s) and the COTA or LOTA by telephone, written report, email, conference etc., including review of progress of patient's/client's assigned.</p> <p>(2) A minimum of two hours of supervision a month of face-to-face, real time interaction with the OTR(s) or LOT(s) observing the COTA or LOTA providing services with patients/clients.</p> <p>(b) Licensees working part-time or less than a full month within a given month may pro-rate these hours, but shall document no less than four hours of supervision per month, one hour of which includes face-to-face, real time interaction by the OTR(s) and LOT(s) observing the COTA or LOTA providing services with patients/clients. Those months where the licensee does not work, he or she shall write N/A in the COTA Supervision Log for that month.</p> <p>(c) COTAs or LOTAs with more than one employer must have a supervisor at each job whose name is on file with the board and must receive supervision by an OTR or LOT, as outlined for part-time employment in this section.</p> <p>(d) The COTA or LOTA must include the name of the supervising OTR or LOT in each patient's treatment note.</p>
Utah	<p>Statute: 58-42a-102</p> <p>58-42a-305</p> <p>58-42a-306</p>	<p>(3) "Certified occupational therapy assistant" or "COTA" means a person certified as a certified occupational therapy assistant by the National Board for Certification in Occupational Therapy.</p> <p>8) "Occupational therapy assistant" or "OTA" means a person licensed in the state to practice occupational therapy under the supervision of an occupational therapist as set forth in Section 58-42a-306.</p> <p>Limitation upon occupational therapy services provided by an occupational therapist assistant.</p> <p>(1) An occupational therapist assistant shall perform occupational therapy services under the supervision of an occupational therapist as set forth in Section 58-42a-306.</p> <p>(2)(a) An occupational therapist assistant may not write an individual treatment plan or approve or cosign modifications to a treatment plan.</p> <p>(b) An occupational therapist assistant may contribute to and maintain a treatment plan.</p> <p>Supervision requirements.</p> <p>The supervising occupational therapist shall perform the following functions:</p> <p>(1) write or contribute to an individual treatment plan;</p> <p>(2) approve and cosign on all modifications to the treatment plan;</p> <p>(3) perform an assessment of the patient before referring the patient to a supervised occupational therapist assistant for treatment;</p> <p>(4) meet face to face with the supervised occupational therapist assistant as often as necessary but at least once every two weeks, to adequately provide consultation, advice, training, and direction;</p> <p>(5) meet with each patient who has been referred to a supervised occupational therapist assistant at</p>

State	Citation	Legislative / Regulatory information
	<p>Regulation: R156-42a-102</p>	<p>least once each month, unless otherwise approved by the division in collaboration with the board, to further assess the patient, evaluate the treatment, and modify the individual's treatment plan;</p> <p>(6) limit supervision to not more than two occupational therapist assistants unless otherwise approved by the division in collaboration with the board; and</p> <p>(7) remain responsible for patient treatment provided by the occupational therapist assistant.</p> <p>(1) "General supervision" as used in Section 58-42a-304 and Subsection R156-42a-302b(2) means the supervising occupational therapist is:</p> <p>(a) present in the area where the person supervised is performing services; and</p> <p>(b) immediately available to assist the person being supervised in the services being performed.</p>
Vermont	<p>Statute: Title 26, §3351</p> <p>Regulation: § 3.7</p>	<p>(2) "Occupational therapy assistant" means a person who is licensed to assist in the practice of occupational therapy under the supervision of an occupational therapist.</p> <p>SUPERVISION STANDARDS</p> <p>(a) As used in this rule: Supervision means the responsible periodic review and inspection of all aspects of occupational therapy services by the appropriate licensed occupational therapist. Close supervision means daily, direct, face-to-face contact at the site of work and applies only to occupational therapists with initial skill development proficiencies or occupational therapy assistants, as appropriate for the delivery of occupational therapy services. Routine supervision means direct face-to-face contact at least every two weeks at the site of the work, with interim supervision occurring by other methods, such as telephonic, electronic, or written communication and applies only to occupational therapy assistants General supervision means at least monthly direct face-to-face contact, with interim supervision available as needed by other methods, and applies only to occupational therapists with increased skill development and mastery of basic role functions or occupational therapy assistants, as appropriate, for the delivery of occupational therapy services.</p> <p>(b) Supervision is a collaborative process that requires both the licensed occupational therapist and the licensed occupational therapy assistant to share responsibility. Appropriate supervision will include consideration given to such factors as level of skill, the establishment of service competency (the ability to use the identified intervention in a safe and effective manner), experience and work setting demands, as well as the complexity and stability of the client population to be treated.</p> <p>(c) The supervision of the occupational therapy assistant is a process that is aimed at ensuring the safe and effective delivery of occupational therapy services and fosters professional competence and development.</p> <p>(d) For effective supervision to occur that will ensure safety and effectiveness of service delivery and that will support the occupational therapy assistant's professional growth, a variety of types and methods of supervision should be used by the occupational therapist. Examples of methods or types of supervision include observation, co-treatment, dialogue/discussion, and teaching/instruction.</p> <p>(e) The occupational therapist develops a plan for supervision that includes input from the OTA in regard to the following:</p> <p>(1) the frequency of supervisory contact</p> <p>(2) the method(s) or type(s) of supervision</p> <p>(3) the content areas addressed</p> <p>(f) The supervisory plan is documented and a log of supervisory contacts is kept by both parties. The log includes the frequency and methods of supervision used.</p> <p>(g) Supervision of occupational therapy services provided by a licensed occupational therapy assistant shall be implemented as follows:</p> <p>(1) Entry level occupational therapy assistants are persons working on initial skill development (less than 1 year of work experience) or who are entering new practice environments or developing new skills (one or more years of experience) and shall require close supervision.</p> <p>(2) Intermediate level occupational therapy assistants are persons working on increased skill development, mastery of basic role functions (minimum one - three years of experience or dependent on practice environment or previous experience) and shall require routine supervision.</p> <p>(3) Advanced level occupational therapy assistants are persons refining specialized skills (more than 3 years work experience, or the ability to understand complex issues affecting role functions) and shall require general supervision.</p>

State	Citation	Legislative / Regulatory information
		<p>(4) Licensed occupational therapy assistants, regardless of their years of experience, may require closer supervision by the licensed occupational therapist for interventions that are more complex or evaluative in nature and for areas in which service competencies have not been established.</p> <p>(h) General statements regarding roles and responsibilities during the delivery of occupational therapy services:</p> <p>(1) The occupational therapist is responsible for the overall delivery of occupational therapy services and is accountable for the safety and effectiveness of the occupational therapy service delivery process.</p> <p>(2) The occupational therapy assistant delivers occupational therapy services under the supervision of the occupational therapist.</p> <p>(3) It is the responsibility of the occupational therapist to be directly involved in the delivery of services during the initial evaluation and regularly throughout the course of intervention.</p> <p>(4) Services delivered by the occupational therapy assistant are specifically selected and delegated by the occupational therapist. When delegating to the occupational therapy assistant, the occupational therapist considers the following factors:</p> <p>(A) the complexity of the client's condition and needs</p> <p>(B) the knowledge, skill, and competence of the occupational therapy assistant.</p> <p>(C) the nature and complexity of the intervention</p> <p>(5) Prior to delegation of any aspect of the service delivery process to the occupational therapy assistant, service competency must be demonstrated and documented between the occupational therapist and occupational therapy assistant. Service competency is demonstrated and documented for clinical reasoning and judgment required during the service delivery process as well as for the performance of specific techniques, assessments, and intervention methods used. Service competency must be monitored and reassessed regularly.</p> <p>(6) The role delineation and responsibilities of the occupational therapist and the occupational therapy assistant remain unchanged regardless of the setting in which occupational therapy services are delivered (i.e., traditional, non-traditional, or newly emerging practice settings).</p> <p>(i) An occupational therapist or occupational therapy assistant practicing under a temporary license must have daily, direct, on-site supervision by a licensed occupational therapist for the duration of the temporary license. The supervisor is available for advice and intervention, and will sign all notes entered into the patient's medical record.</p>
Virginia	<p>Statute:</p> <p>Regulation: 18VAC 85-80-10</p> <p>18VAC 85-80-110</p>	<p>No relevant Statutes</p> <p>"Occupational therapy personnel" means appropriately trained individuals who provide occupational therapy services under the supervision of a licensed occupational therapist</p> <p>Supervisory responsibilities.</p> <p>A. Delegation to unlicensed occupational therapy personnel.</p> <p>1. An occupational therapist shall be responsible for supervision of occupational therapy personnel who work under his direction.</p> <p>2. An occupational therapist shall not delegate the discretionary aspects of the initial assessment, evaluation or development of a treatment plan for a patient to unlicensed occupational therapy personnel nor shall he delegate any task requiring a clinical decision or the knowledge, skills, and judgment of a licensed occupational therapist.</p> <p>3. Delegation shall only be made if, in the judgment of the occupational therapist, the task or procedures does not require the exercise of professional judgment, can be properly and safely performed by appropriately trained unlicensed occupational therapy personnel, and the delegation does not jeopardize the health or safety of the patient.</p> <p>4. Delegated tasks or procedures shall be communicated on a patient-specific basis with clear, specific instructions for performance of activities, potential complications, and expected results.</p> <p>B. The occupational therapist providing clinical supervision shall meet with the occupational therapy personnel to review and evaluate treatment and progress of the individual patients at least once every fifth treatment session or 21 calendar days, whichever occurs first.</p> <p>C. An occupational therapist shall not provide clinical supervision for more than six occupational therapy personnel.</p> <p>D. An occupational therapist shall be responsible and accountable for the services provided by occupational therapy personnel under his clinical supervision.</p>

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Washington	<p>Statute: RCW 18.59.020</p> <p>Regulation: WAC 246-847-010</p>	<p>(4) "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under the supervision or with the regular consultation of an occupational therapist</p> <p>(3) "Occupational therapy practitioner" means a person who is credentialed as an occupational therapist or occupational therapy assistant.</p> <p>2) "Supervision" and "regular consultation" of an occupational therapy assistant by an occupational therapist in RCW 18.59.020(4) and "direct supervision" of a person holding a limited permit by an occupational therapist in RCW 18.59.040(7) shall mean face to face meetings between the occupational therapist and occupational therapy assistant and between the occupational therapist and holder of a limited permit occurring at intervals as determined necessary by the occupational therapist to establish, review, or revise the client's treatment objectives. The meetings shall be documented and the documentation shall be maintained in each client's treatment record. The failure to meet to establish, review, or revise the client's treatment objectives at sufficient intervals to meet the client's needs shall be grounds for disciplinary action against the occupational therapist's license and/or the occupational therapy assistant's license to practice in the state of Washington and/or the limited permit pursuant to WAC 246-847-160 (4) and (14), 246-847-170 (2) and (3) and RCW 18.59.100 for conduct occurring prior to June 11, 1986 and pursuant to RCW 18.130.180 for conduct occurring on or after June 11, 1986.</p>
West Virginia	<p>Statute: §30-28-3</p> <p>Regulation: Title 13, § 13-1-2</p>	<p>(f) "Occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy under the general supervision of the licensed occupational therapist, and whose license is in good standing. As contained in this section, the term "general supervision" means initial direction and periodic inspection of the actual activities; however, the supervising licensed occupational therapist need not always be physically present or on the premises when the licensed assistant is performing services.</p> <p>1.7. "Direct Supervision" means the actual physical presence of a licensed supervisor and the specific delineation of tasks and responsibilities for personally reviewing and interpreting the results of any habilitative or rehabilitative procedures conducted by the limited permit holder, occupational therapy student, or aide. It is the responsibility of the licensed supervisor to ensure that the limited permit holder, occupational therapy student, or aide does not perform duties for which he or she is not trained. The supervising licensed occupational therapist or licensed occupational therapy assistant shall be physically present when the limited permit holder, occupational therapy student, or aide is performing the patient or consumer service. An occupational therapist practicing under a limited permit shall be supervised by a licensed occupational therapist.</p> <p>2.10. "General Supervision" means initial direction, periodic inspection of service delivery, periodic meetings to review the outcome of service delivery, and the personal and direct involvement of the supervisor in the certified occupational therapy assistant's professional experience which includes evaluation of his or her performance. The supervisor need not be present or on the premises at all times where the licensed certified occupational therapy assistant is performing the professional services.</p> <p>2.19. "Occupational Therapy Assistant" means a person licensed to assist in the practice of occupational therapy under the general supervision of the licensed occupational therapist and whose license is in good standing.</p>
Wisconsin	<p>Statute: Chapter 448, § 448.96</p> <p>Regulation: Med 19.02</p> <p>Med 19.09</p>	<p>(6) "Occupational therapy assistant" means an individual who is licensed by the affiliated credentialing board to assist in the practice of occupational therapy under the supervision of an occupational therapist.</p> <p>(17) "Supervision" of an occupational therapy assistant means a process in which an occupational therapy assistant performs duties delegated by an occupational therapist in a joint effort to promote, establish, maintain, and evaluate the occupational therapy assistant's level of performance and service.</p> <p>OT 4.04 Supervision and practice of occupational therapy assistants. (1) An occupational therapy assistant must practice under the supervision of an occupational</p>

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		<p>therapist. Supervision is an interactive process that requires both the occupational therapist and the occupational therapy assistant to share responsibility for communication between the supervisor and the supervisee. The occupational therapist is responsible for the overall delivery of occupational therapy services and shall determine which occupational therapy services to delegate to the occupational therapy assistant or non-licensed personnel based on the establishment of service competence between supervisor and supervisee, and is accountable for the safety and effectiveness of the services provided.</p> <p>(2) Supervision of an occupational therapy assistant by an occupational therapist shall be either close or general. The supervising occupational therapist shall have responsibility for the outcome of the performed service.</p> <p>(3) When close supervision is required, the supervising occupational therapist shall have daily, direct contact on the premises with the occupational therapy assistant. The occupational therapist shall provide direction in developing the plan of treatment and shall periodically inspect the actual implementation of the plan. The occupational therapist shall cosign evaluation contributions and intervention documents prepared by the occupational therapy assistant.</p> <p>(4) When general supervision is allowed, the supervising occupational therapist shall have direct contact on the premises with the occupational therapy assistant and the client at least once every 2 weeks. In the interim between direct contacts, the occupational therapist shall maintain contact with the occupational therapy assistant by telephone, written reports and group conferences. The occupational therapist shall record in writing a specific description of the supervisory activities undertaken for each occupational therapy assistant. The written record shall include client name, status and plan for each client discussed.</p> <p>(5) Close supervision is required for all rehabilitation, neonate, early intervention, and school system services provided by an entry level occupational therapy assistant. All other occupational therapy services provided by an occupational therapy assistant may be performed under general supervision, if the supervising occupational therapist determines, under the facts of the individual situation, that general supervision is appropriate using established professional guidelines.</p>
Wyoming	<p>Statute: Chapter 40, § 33-40-102</p> <p>Regulation: Chapter 1, § 1</p> <p>Chapter 3, § 1</p>	<p>Definitions.</p> <p>(a)(ii) "Certified occupational therapy assistant" means a person licensed to assist in the practice of occupational therapy, under this act, and who works under the supervision of a registered occupational therapist.</p> <p>(e) "Close supervision" mean daily, direct contact at the site of work and applies only to OT/OTRs with initial skill development proficiencies or OTA/COTAs, as appropriate, for the delivery of occupational therapy services.</p> <p>(h) "general supervision" means at least monthly direct contact with interim supervision available as needed by other methods, an applies only to OT/OTRs with increased skill, development and mastery of basic role functions or OTA/COTAs as appropriate, for the delivery of occupational therapy services.</p> <p>(m) "occupational therapy assistant" means an occupational therapy assistant who has met all educational requirements for an occupational therapy assistant, and was initially certified as a Certified Occupational Therapy Assistant (COTA) by NBCOT, and did not renew the certification but remains in good standing.</p> <p>(p) "routine supervision" means direct contact at least every two weeks at the site of work, with interim supervision occurring by other methods, such as telephonic, electronic or written communication and applies only to OTA/COTAs.</p> <p>Delineation of Roles.</p> <p>(a) An occupational therapist currently licensed by the Board:</p> <p>(i) Evaluates the patient/client.</p> <p>(ii) Prepares a written program plan and provides treatment as appropriate.</p> <p>(iii) Assigns treatment duties based on that program plan to an occupational therapy assistant currently licensed by the Board who has been specifically trained to carry out those duties.</p> <p>(iv) Monitors the occupational therapy assistant's performance.</p> <p>(v) Accepts professional responsibility for the occupational therapy assistant's performance.</p> <p>(b) An occupational therapy assistant currently licensed by the board assists in the practice of</p>

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	§ 2	<p>occupational therapy and performs treatment and delegated assessment commensurate with their education and training.</p> <p>Supervision of Certified Occupational Therapy Assistants/Occupational Therapy Assistants</p> <p>(a) A licensed COTA/OTA may assist in the practice of occupational therapy only under the supervision of an OTR/OT.</p> <p>(b) The supervisor shall have supervisory contact with the COTA/OTA at least 5% of the time worked by the assistant in direct patient care. Additional supervisory guidelines are as follows:</p> <p>(i) Entry-level COTA/OTA (working on initial skill development or entering new practice.) Close supervision by OTR/OT is recommended. “Close Supervision” means daily, direct contact at the site of work.</p> <p>(ii) Intermediate-level COTA/OTA (working on increased skill development and mastery of basic role functions and demonstrates ability to respond to situations based on previous experience.) Routine supervision by an OTR/OT is recommended. “Routine supervision” means direct contact at least every two weeks at the site of work, with interim supervision occurring by other methods, such as telephonic, electronic or written communication.</p> <p>(iii) Advanced-level COTA/OTA (refining specialized skills with the ability to understand complex issues affecting role functions.) General supervision is recommended. “General Supervision” means at least monthly direct contact, with interim supervision available as needed by other methods.</p> <p>(c) The supervisor shall maintain a supervisory plan and shall document the supervision of each COTA/OTA. Documentation shall include evidence of regular supervision and contact between the supervisor and the assistant and may be subject to Board review upon request. Supervision includes the following:</p> <p>(i) Communicating to the COTA/OTA the results of patient/client evaluation and discussing the goals and program plan for the patient/client.</p> <p>(ii) Providing information, instruction and assistance as needed.</p> <p>(iii) Preparing on a regular basis, but at least annually, a written appraisal of the COTA/OTA’s performance and discussing appraisal.</p> <p>(iv) The supervisor may assign to a competent COTA/OTA the administration of standardized tests, the performance of activities of daily living evaluations and other elements of patient/client evaluation and reevaluation that do not require the professional judgment and skill of an occupational therapist.</p> <p>(v) More frequent supervision may be necessary as determined by the OTR/OT or the COTA/OTA, dependent on the level of expertise displayed by the COTA/OTA, the setting and the population characteristics.</p> <p>(d) A supervisor who is temporarily unable to provide supervision shall arrange for substitute supervision by an OTR/OT currently licensed by the Board. The substitute shall provide supervision that is as rigorous and thorough as that provided by the permanent supervisor.</p> <p>(e) Failure to comply with this section constitutes unprofessional conduct.</p>